

ATC-58 Development of Performance-Based Seismic Design Guidelines

Proceedings: Mini-Workshop/Invited Meeting on the Identification of Nonstructural Components of Significance

Project: ATC-58 Development of Next Generation Performance-based Seismic Design Guidelines

Attendees: *Project Steering Committee:* W. Holmes (chair), J. Gillengerten
Project Management Committee: R. Hamburger, M. Phipps, C. Rojahn, J. Heintz
Product Development Teams: R. Bachman, A. Whittaker, C. Comartin, E. Miranda, K. Porter, G. Hecksher
FEMA: R. Hanson, J. Lusk
Invited Participants: T. Anagnos, H. Blecher, M. Comerio, J. Eidinger, J. Ferritto, B. Gates, B. Reitherman, S. Swan

Location: Simpson Gumpertz & Heger Inc., San Francisco, California

Date: August 25, 2005

Item	Description	Action	
		Responsible	Date Due
1.	<p>Opening Remarks: Bachman welcomed workshop participants, provided an overview of the workshop purpose, reviewed the agenda, and asked for self-introductions (Attachment A).</p> <p>The reason for the workshop was to assist the project team in identifying the nonstructural components and systems which are significant in the overall seismic performance of building structures. Invited participants were experts in the field of seismic losses associated with nonstructural component and systems. For the ATC-58 Project, performance is measured in one of three ways: (1) casualties; (2) cost of repair and replacement; and (3) downtime and consequential damages. Since there are a tremendous number of nonstructural components and systems in a typical building, it is extremely valuable to recognize only those components and systems which are really important to one or more of these performance measures and to group the rest into large categories (bins) which are not significant to the performance measures. In assessing performance, the ATC-58 Project is currently considering the following 8 occupancy types:</p> <ol style="list-style-type: none"> 1. Commercial Office 2. Education 3. Healthcare 4. Hospitality 		

	<p>5. Residential 6. Research 7. Retail 8. Warehouse</p> <p>The special needs of these occupancies were to be considered in determining which nonstructural components are important for estimating casualties, dollar loss, and downtime.</p> <p>A NISTIR 6389 taxonomy of components present in a building was used to focus the discussion in the workshop.</p>		
2	<p>Overview of ATC-58: Hamburger provided an overview of the ATC-58 Performance-Based Seismic Design Project (Attachment B). While focused on the seismic design problem, the methodology should be compatible with design for other hazards, including fire and blast. It is intended to address problems identified with first-generation performance-based design methods, including stakeholder difficulties in making decisions regarding minimum levels of acceptable performance, and needs for quantification of dollar loss and risk. The process will be kept as close as possible to current practice, user friendly, yet sufficiently precise for building-specific assessments.</p>		
3	<p>Assessment Process: Comartin provided an overview of the assessment process including a brief example on a sample project (Attachment C).</p> <p><u>Discussion:</u> Components need to be categorized into performance groups with the following characteristics – each in one group only; occur at similar locations in the building; performance keyed to the same Engineering Demand Parameter; common fragility; similar impacts on loss. When dealing with components that are an assembly of items, fragility functions need to address the performance of the assembly, including connections. The methodology will include some “built-in” fragilities as well as protocols for developing user-specified fragilities. We may need multiple levels of performance groupings to adjust level of effort and aggregate or disaggregate results to make informed decisions about the design of specific components.</p>		
4	<p>Nonstructural Components that matter: Miranda provided an overview of the characteristics of nonstructural components that significantly affect loss estimation: (1) Components must commonly exist in the building class under consideration; (2) They must have a high consequence of damage in casualties, dollars, or downtime; and (3) Damage occurs at low Intensity Measures (Attachment D).</p>		
5	<p>Comparison of Design Standards and Damage Assessment: Comerio and Blecher presented a study comparing classification lists and damage assessment parameters from several sources including FEMA 356, SEAOC Vision 2000, and ATC-20 (Attachment E).</p>		
6	<p>Performance of Nonstructural Systems: Gates presented photographic examples of nonstructural component damage from past earthquakes (Attachment F).</p>		
7	<p>Past Economic Analysis: Ferritto presented an example of past economic analyses for seismic design of structural and nonstructural</p>		

	components (Attachment G).		
8	<p>Past Data Points: Reitherman presented comments on past data points related to reducing the risk of nonstructural damage (Attachment H).</p> <p><u>Discussion:</u> Lists imply tasks. We need to prioritize our list of considerations to look at the most important things. We can't consider everything.</p>		
9	<p>Building Component Taxonomy: Porter defined the term "taxonomy", which is the process of classifying objects into established categories, and presented an overview of a modified NISTIR 6389 taxonomy for classifying all of the components in a building (Attachment I).</p> <p>Useful taxonomic categories have the following characteristics:</p> <ol style="list-style-type: none"> 1. Clear (not circular) definitions 2. Common fragility 3. They distinguish performance (i.e. braced versus unbraced) 4. Testable, quantifiable fragilities 5. We can assess the consequences (casualties, dollars, downtime) 6. Flexible (allows incorporation of new information) 7. Collectively exhaustive 8. Simple 9. Collapsible (coarse levels, and more detailed levels) 10. Familiar terms (ease of communication between various user groups) 		
10	<p>Nonstructural components discussion: Bachman led a discussion through Porter's modified NISTIR 6389 taxonomy to collect and record expert opinion on which nonstructural categories are of significance in estimating casualties, dollar losses, and/or downtime.</p> <p>Based on the results of the discussion, an abbreviated list is contained in Attachment J.</p> <p>Certain components (canopies, marquees, and stairs) were set aside for further discussion on whether or not they should be classified as structural or nonstructural components.</p> <p><u>Follow up:</u> In a teleconference on 8/31/05 it was agreed that these components would be treated as nonstructural components but that they should be included in the structural analysis model if they significantly affect the structural response of the building.</p> <p>The categories for piping and HVAC systems and equipment did not seem to be broken down in a way that was useful for performance assessment. The items in these categories were set aside to be reorganized in a new list prepared by Swan.</p> <p><u>Follow up:</u> In subsequent correspondence on 9/2/05, Swan provided a list of possible classifications of HVAC and electrical system components with sample earthquake performance and fragility data (Attachment K).</p>	<p>Bachman, Whittaker, Comartin, Hamburger</p> <p>Swan</p>	9/1/05
11	<p>Contents discussion: Bachman led a discussion on building contents, such as furnishings and inventories, which are separate from nonstructural</p>		

	<p>components, but have some significance to performance assessment given the special needs of specific occupancies (see also Attachment J).</p> <p><u>Discussion:</u> Some expressed a desire to be able to predict the quantity and extent of damage to contents of interest to stakeholders (i.e. – the number of computers that fall off desks, or the number of file cabinets that fall over). It was suggested, however, that the methodology is not intended to provide information to that level of specificity. The process will allow user-defined groups, with user-defined fragilities, but will contain built-in fragilities or assumptions about contents. An argument was made that building-specific assessments cannot be made with default information, and that assessment requires detailed inventories of actual building contents. Hamburger noted that beta values will change with the level of knowledge.</p>		
12	Workshop adjourned at 5:00 pm		

**ATC – 58 Mini-Workshop/ Invited Meeting on
The Identification of Non-Structural Components of Significance
August 25, 2005
Tentative Agenda – Rev 1**

- 9:30 AM** Call Workshop to Order Bob Bachman
- Purpose of Workshop/Meeting – Scope of Nonstructural
- Why you were invited - What is goal for the day – HAZUS ?
- Review of Agenda - House keeping items – lunch how/where
- 9:45 AM** Self Introduction of Attendees All
- 10:00 AM** ATC-58 Brief Project Overview Ron Hamburger
- 10:10 AM** Brief Overview of the Performance Assessment Process Craig Comartin
- 10:20 AM** Presentation by Eduardo Miranda
- 10:30 AM** Presentation by Mary Comerio
- 10:40 AM** Presentation by Bill Gates
- 10:50 AM** Presentation by John Ferritto
- 11:00 AM** Presentation by Bob Reithermann
- 11:10 AM** Presentation by Keith Porter on NIST/RS Means Organization
and Project Occupancies
- 11:20 AM** Discussion by all on how we should go about deciding All
which nonstructural components are significant to
performance. What data exists to validate our judgments?
How do significant components vary by occupancy?
- Noon** Lunch
- 12:30 PM** Begin Decision Making on Significant Non Structural Components
- 2:30 PM** Break
- 2:45 PM** Continue Decision Making with variations with Occupancy
- 5:00 PM** Summarize and Adjourn by 5:15 PM.

Invited Workshop Participants

Mike Mahoney/Jeff Lusk – ATC-58 FEMA Project Officer

Bob Hanson - ATC-58 FEMA Technical Representative

Chris Rojahn - ATC Executive Director and ATC-58 Project Technical Director

Jon Heintz - ATC Project Manager for the ATC-58 Project

Ron Hamburger – ATC-58 Project Technical Director

Andrew Whittaker – ATC-58 Project Team Leader for Structural Performance Products

Bob Bachman – ATC-58 Project Team Leader for Non Structural Performance Products

Craig Comartin – ATC-58 Project Team Leader for Risk Management Performance Products

Maryann Phipps – Member of the ATC-58 Project Management Committee

Bill Holmes – Chair of the ATC-58 Project Steering Committee

John Gillengerten – Member of the ATC-58 Project Steering Committee

Eduardo Miranda – Stanford – Member of Nonstructural Team

Keith Porter – Caltech – Member of Nonstructural Team

Mary Comerio – UC Berkeley and Howard Blecher – Research Assistant

Thalia Anangos – San Jose State

Bob Reithermann – Executive Director of CUREE

Gee Hecksher – AIA – Cost Estimation – Member of Risk Management Team

John Eidinger – President, G&E Engineering Systems

Bill Gates – Vice President - URS



John Ferritto – Consultant – Retired from Pt. Hueneme

Sam Swan – Consultant – Formerly with ABS

ATC-58 Development of Next-Generation Performance Based Design Guidelines

Ronald O. Hamburger, SE
Project Technical Director

Principal
Simpson Gumpertz & Heger, Inc.
Consulting Engineers


Project Goals

- ◆ Develop next-generation criteria for performance-based design of buildings
- ◆ Applicable to:
 - Design of new buildings
 - Upgrade of existing buildings
- ◆ Compatible with performance based approaches for other extreme hazards:
 - Fire
 - Blast




Goals of Performance-based Design

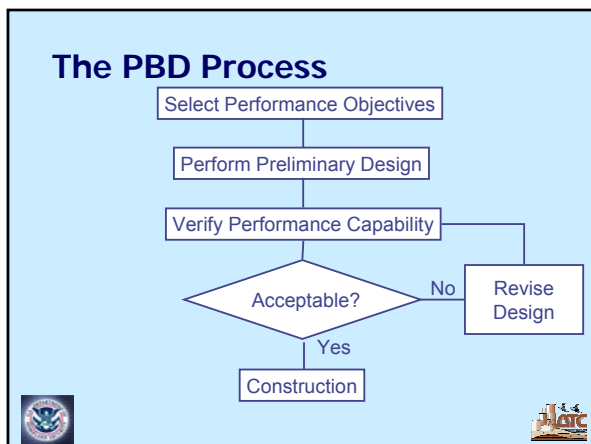
- ◆ Permit seismic (fire, blast) protection to be provided at lower cost
- ◆ Permit superior protection to be achieved when required
- ◆ Permit prescriptive provisions of building codes to be improved without waiting for a disaster

Performance-based Design Development History

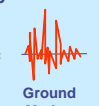

- ◆ 1993-1997 FEMA invested in the development of performance-based design guidelines for seismic upgrade
 - ATC-33 project
 - FEMA-273/274- NERHP Guidelines and Commentary for Seismic Rehabilitation of Buildings
 - FEMA-310 Handbook for Seismic Evaluation of Buildings
 - FEMA-356 Prestandard for Seismic Rehabilitation of Buildings
 - ASCE-31 Standard for Seismic Evaluation of Buildings
 - ASCE-41 Standard for Seismic Rehabilitation of Buildings







Present Generation Performance Objectives

- ◆ Specification of :
 - Design Hazard (earthquake ground shaking)
 - Acceptable Performance Level (maximum acceptable damage, given that shaking occurs)

Performance Objective =  + 

Ground Motion Performance Level
x% - 50 years

Present Generation Performance Levels

Fully Functional
Immediate Occupancy
Life Safety
Collapse Prevention

Evaluation Approach

- 1- Select Hazard Level
 - 10% - 20 yrs
 - 10% - 50 yrs
 - 10% - 100 yrs
 - 10% - 250 yrs
- 2- Determine ground Motion S_a
- 3- Run Analysis
- 4- Determine Drift & Component Demands
- 5- Determine Performance
- 6- Pass or Fail Criterion evaluated on component by component or global structural basis

Perception of a Guarantee

It was supposed to provide Immediate Occupancy!!
Maybe I should call my attorney!!!

Decision Maker Difficulty in Selecting Appropriate Performance

• 40% - 20 yrs
 • 10% - 50 yrs
 • 10% - 100 yrs
 • 10% - 250 yrs

0% none Time out of 100% permanent

Performance Next-Generation PBD

- ◆ The potential consequences of building response to earthquakes, including:
 - Life loss & injuries
 - Direct economic loss (repair and replacement costs)
 - Indirect economic and social loss (loss of use of damaged or destroyed facilities)

Next Generation Performance Assessment

- ◆ Adopt methods of loss estimation similar to HAZUS, EQECAT, RMS and other models
- ◆ User-friendly, yet sufficiently precise for building-specific assessment
 - Not tied to pushover analysis
 - Multiple levels of assessment
 - ◆ Schematic design
 - ◆ Detailed design or existing building
 - Permit user to understand principal sources of loss so design decisions can be guided

Implementing the Process

- ◆ Intent is to keep engineer's task as close as possible to current practice
 - Define building
 - ◆ Structure
 - ◆ Nonstructural dressing
 - ◆ Construction value
 - ◆ Occupancy
 - Analyze structure and predict demands
 - Evaluate Potential Losses
- ◆ Software will be available to perform loss calculations

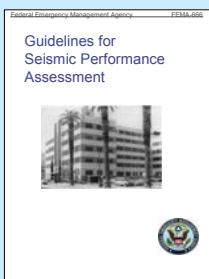


ATC-58 Project

- ◆ Initiated in 2002
- ✓ Phase 1
 - Review and Update FEMA-349 Program Plan, Published as FEMA 445
- ✓ Phase 2
 - Determine basic procedures and framework
- Phase 3
 - Development of Performance Assessment Guidelines
- ◆ Phase 4
 - Development of Design Guidance



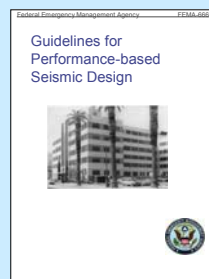
Project Products



- ◆ Guidelines for assessing potential:
 - Repair costs
 - Occupancy interruption
 - Casualties
- ◆ Will include software for calculating losses
- ◆ Scheduled for release - 2009



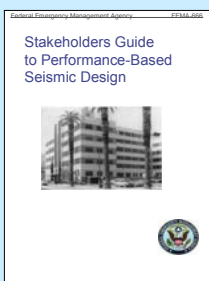
Project Products



- ◆ Recommendations on:
 - selection of appropriate systems
 - strength, stiffness, and ductility
 - nonstructural installation
- for various performance objectives
- ◆ Scheduled release 2014

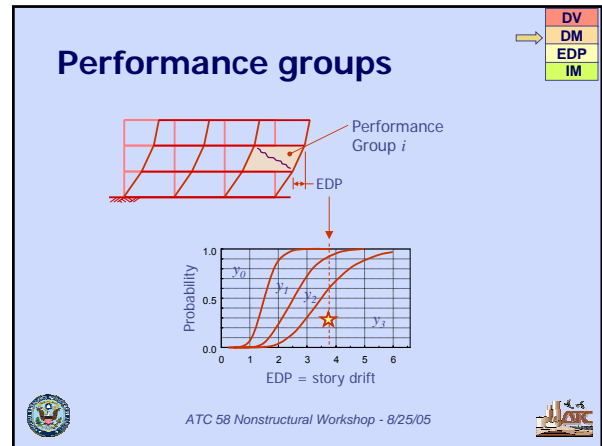
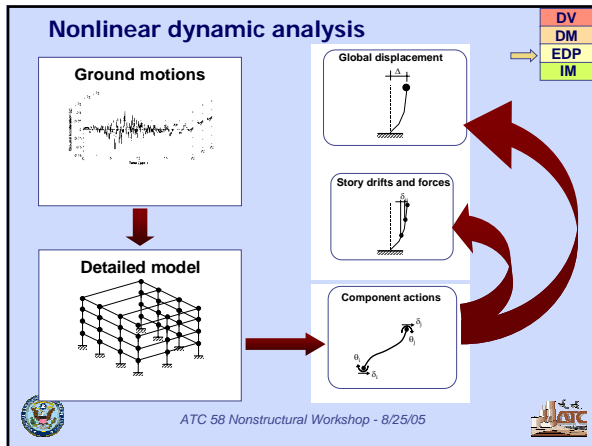


Project Products



- ◆ Recommendations for:
 - building officials
 - building owners
 - lenders
 - tenants
 - insurers
- how to take advantage of this new technology





Performance group damage states

Name	Location	EDP	Components	Quantities
SH12	between L1 and L2	$d_{1,2}$	Steel moment connection	One each side of col

Description of damage	Damage States		
	DS1	DS2	DS3
	Cracking or fracture of weldments	Buckling or fracture of beam flanges and/or webs; minor buckling of column flanges or continuity plates	Fracture of column flanges and/or web

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Performance group damage states

Division	Subject	Damage States		
		DS1	DS2	DS3
6	Structural steel	Gouge and re-weld	Remove and replace locally	Replace entire joint assembly
8	Doors, windows, etc.	No work	Remove exterior cladding, store and reinstall 20 lineal feet	Remove exterior cladding, store and reinstall 20 lineal feet
9.01	Finishes	Remove and replace drywall; repaint 120 sq. feet	Remove and replace drywall; repaint 120 sq. feet	Remove and replace drywall; repaint 120 sq. feet
9.02	Ceilings	Remove and replace tiles and grid 400 sq ft	Remove and replace tiles and grid 400 sq ft	Remove and replace tiles and grid 900 sq ft
16	Mechanical systems	Remove (re-route), store and replace pipes and ducts Duct: 20 lineal feet Pipe: 20 lineal feet	Remove (re-route), store and replace pipes and ducts Duct: 20 lineal feet Pipe: 20 lineal feet	Remove (re-route), store and replace pipes and ducts Duct: 40 lineal feet Pipe: 80 lineal feet

Performance group damage measures

REPAIR MEASURE	Units	Performance Group SH12		
		DS1	DS2	DS3
STRUCTURAL				
Demolition/Access				
Finish protection	sf	6,000	6,000	6,000
Ceiling system removal	sf	2,000	3,000	5,000
Drywall assembly removal	sf	800	800	6,000
Miscellaneous MEP	loc	2	4	6
Remove exterior skin (salvage)	sf			5,600
Repair				
x Welding protection	sf	1,500	1,500	1,500
x Shore beams below & remove	loc			12
x Cut floor slab at damaged conn.	sf	70	150	1,000
x Carbon arc out weld	lf	40	50	
x Remove portion of damaged beam/col	sf		100	
x Replace weld - from above	lf	40	40	
x Replace beam flange and web - weld	sf			3,000
x Remove/replace connection	lb			1,600
x Replace slab	sf	70	70	

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Performance group repair cost functions

REPAIR MEASURE	Units	QUANTITY DEPENDENT UNIT COSTS				Contingency (+/- %)
		Min. Qty.	Max. cost	Max. Qty.	Min. cost	
STRUCTURAL						
Demolition/Access						
Finish protection	sf	1000	0.30	40000	0.15	
Ceiling system removal	sf	1000	2.00	10000	1.25	
Drywall assembly removal	sf	1000	2.50	20000	1.50	
Miscellaneous MEP	loc	6	200.00	24	150.00	
Remove exterior skin (salvage)	sf	3000	30.00	10000	25.00	
Repair						
x Welding protection	loc	1000	1.50	10000	1.00	
x Shore beams below & remove	sf	6	2,100.00	24	1,600.00	
x Cut floor slab at damaged conn.	sf	10	200.00	100	150.00	
x Carbon arc out weld	lf	100	15.00	1000	10.00	
x Remove portion of damaged beam/col	sf	100	80.00	2000	50.00	
x Replace weld - from above	lf	100	50.00	1000	40.00	
x Replace beam flange and web - weld	sf	100	140.00	1000	110.00	
x Remove/replace connection	lb	2000	6.00	20000	5.00	
Replace slab	sf	100	20.00	1000	16.00	

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Conceptual repair cost functions

Quantity i (e.g., square feet of wallboard partition replacement)

Unit Cost, \$

Quantity

Total repair cost

Total cost = $\sum C_i Q_i$

(plus contractor's OH and profit (~12%) and general project costs (design, admin etc, at 20-50%).)

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Loss calculation

DV
 DM
 EDP
 IM

- Determine damage state for each performance group.
- Assemble total repair quantities across all performance groups.
- Determine quantity weighted unit cost.
- Calculate repair costs for each performance group.
- Sum across performance groups for total repair cost.

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A PBEE implementation challenge

To conduct a PBEE study on a real building using real earthquake professionals and a realistic budget and timeframe.

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Performance groups

Name	Location	EDP	Components
SH12	between levels 1 and 2	$d_{1,2}$	Structural lateral: lateral load resisting system; damage oriented fragility (direct loss calculations)
SH23	between levels 2 and 3	$d_{2,3}$	
SH3R	between levels 3 and R	$d_{3,R}$	
EXTD12	between levels 1 and 2	$d_{1,2}$	Exterior enclosure: panels, glass, etc.
EXTD23	between levels 2 and 3	$d_{2,3}$	
EXTD3R	between levels 3 and R	$d_{3,R}$	

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Performance groups

Name	Location	EDP	Components
INTD12	between levels 1 and 2	$d_{1,2}$	Interior nonstructural drift sensitive: partitions, doors, glazing, etc
INTD23	between levels 2 and 3	$d_{1,2}$	
INTD3R	between levels 3 and R	$d_{3,R}$	
INTA2	below level 2	a_1	Interior nonstructural acceleration sensitive: ceilings, lights, sprinkler heads, etc
INTA3	below level 3	a_2	
INTAR	below level R	a_3	

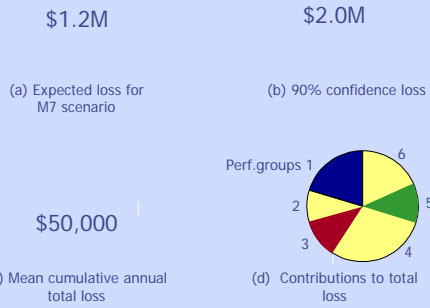
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Performance groups

Name	Location	EDP	Components
CONT1	at level 1	a_1	Contents: General office on first and second floor, computer center on third
CONT2	at level 2	a_2	
CONT3	at level 3	a_3	
EQUIPR	at level R	a_R	Equipment on roof

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Various expressions of risk



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Guidelines for Selection of Performance Groups

Assemble all "components" (pieces of a building subject to damage) into groups

Note that the direct damage to any component should be represented in only one group. (e.g. interior partitions between the second and third floors). In some instances, indirect damage in one group may be incurred due to direct damage to another group. (e.g. removal of partitions to implement repair to damaged structure).

Group components logically with respect to:

- Location (floor level)
- EDP (one per group)
- Fragility (expressed in terms of damage to all components in the assembly)
- Loss impacts (deaths, dollars, downtime)



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ATC-58 Nonstructural Components

What matters?

Eduardo Miranda

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The measuring stick is based decision variables (DV)

1. **Economic losses (dollars)**
2. **Loss of functionality, loss of use (downtime)**
3. **Serious injuries or fatalities (deaths)**

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Conditions that make a NSC matter for economic losses

1. **Commonly present in the building class being considered**
2. **Consequences of damage must be relatively expensive**
3. **Damage is produced at low IM's (relatively high MAF of damage)**

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Importance of nonstructural components

Building Class	Structural (%)	Nonstructural (%)
Office	21.8%	78.2%
Hotel	16.2%	83.8%
Hospital	14.3%	85.7%

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Importance of nonstructural components

Building Class	Structural (%)	Nonstructural (%)	Contents (%)
Office	18.0%	62.0%	20.0%
Hotel	13.0%	70.0%	17.0%
Hospital	8.0%	48.0%	44.0%

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Example of nonstructural fragility function

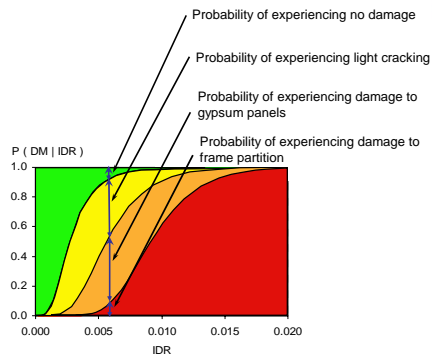
INCREASING INTERSTORY DRIFT →

DM_1 DM_2 DM_3

Light cracking Damage to panels Damage to panels and frames

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Example of use of a nonstructural fragility function



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Conditions that make a NSC matter for downtime

1. **Commonly present in the building class being considered**
2. **Consequences of damage must have an important effect on functionality or loss of use**
3. **Damage is produced at low IM's (relatively high MAF of damage)**

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Conditions that make a NSC matter for injuries/deaths

1. **Commonly present in the building class being considered**
2. **Damage must have a significant probability effect of causing severe injuries or fatalities**
This often means heavy NSCs, but not always (glazing, chemical spills, fire potential, etc.)
3. **Damage is produced at low IM's (relatively high MAF of damage)**

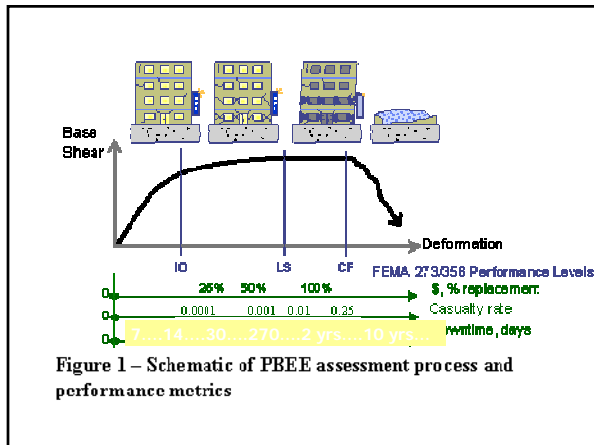
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DOWNTIME MODELING FOR RISK MANAGEMENT: Comparison of Design Standards and Damage Assessment

*Mary Comerio, UC Berkeley
Howard Blecher, UC Berkeley*

Downtime in PBEE and Loss Modeling

- Performance Based Earthquake Engineering Decision Variables
 - Deaths
 - Dollars
 - Downtime
- Economic Impacts in Loss Modeling
 - Secondary Impacts, such as:
 - Service Interruption for lifelines, schools
 - Business Interruption
 - Opportunity Costs



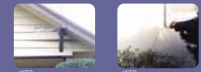
Defining Downtime

- 1. The rational—the time to repair building components damaged and refinish spaces for use.
- 2. The irrational—the time needed for mobilization and tasks and decisions unrelated to the damage specifics. These include:
 - Financing
 - Relocation of Functions (Surge)
 - Management/Manpower
 - Economic and Regulatory Uncertainty

Performance Levels and Damage States

PEER	FEMA 356 Performance Level (Structural/Nonstructural)	Damage	Vision 2000 Damage States and Performance Level Thresholds	Damage Index	Damage Range	ATC 20 Posting	Tag Color
	Operational (N-A)	Light	Fully Operational / Functional	10 9	Negligible	Inspected	Green
	Immediate Occupancy (N-B) / Immediate Occupancy (S-1)		Operational	8 7	Light		
	Life Safety (N-C) / Life Safety (S-3)	Moderate	Life Safe	6 5	Moderate	Restricted Use	Yellow
	Hazards Reduced (N-D) / Collapse Prevention (S-5)	Severe	Near Collapse	4 3	Severe		
			Collapse	2 1	Complete	Unsafe / Area Unsafe	Red

Nonstructural Performance Level
Architectural Components



Cladding

PEER	FEMA 356	Vision 2000	ATC 20
	Connections yield; minor cracks (<1/16" width) or bending in cladding.	Negligible Damage.	
	Connections yield; minor cracks (<1/16" width) or bending in cladding.	Connections yield; some cracks or bending in cladding.	
	Severe distortion in connections. Distributed cracking, bending, crushing, and spalling of cladding elements. Some fracturing of cladding, but panels do not fall.	Severe distortion in connections. Distributed cracking, bending, crushing, and spalling of cladding elements. Some fracturing of cladding, falling of panels prevented.	
	Severe distortion in connections. Distributed cracking, bending, crushing, and spalling of cladding elements. Some fracturing of cladding, but panels do not fall in areas of public assembly.	Severe damage to connections and cladding. Some falling of panels.	
			Overall Level of Damage: Possibility of Falling Panels. Connections, Walls with Some Falling Panels. (Section 12.2.2: Cladding)

Nonstructural Performance Level Architectural Components


Glazing



PEER	FEMA 356	Vision 2000	ATC 20
Green	Some cracked panes; none broken.	Generally no damage; isolated cracking possible.	
Green	Some cracked panes; none broken.	Some broken glass; falling hazards avoided.	
Yellow	Extensive cracked glass; little broken glass.	Extensive broken glass; some falling hazard.	
Yellow	General shattered glass and distorted frames in unoccupied areas. Extensive cracked glass; little broken glass in occupied areas.	General shattered glass and distorted frames; widespread falling hazards.	
Red			Glazing: Possible Falling Glass. (Section 12.2.5: Interior Walls, Partitions, and Glazing)

Nonstructural Performance Level Architectural Components


Partitions



PEER	FEMA 356	Vision 2000	ATC 20
Green	Cracking to about 1/16" width at openings. Minor crushing and cracking at corners.	Negligible damage; some hairline cracks at openings.	
Green	Cracking to about 1/16" width at openings. Minor crushing and cracking at corners.	Cracking to about 1/16" width at openings; crushing and cracking at corners.	
Yellow	Distributed damage; some severe cracking, crushing, and racking in some areas.	Distributed damage; some severe cracking, crushing, and racking in some areas.	
Yellow	Distributed damage; some severe cracking, crushing, and racking in some areas.	Severe racking and damage in many areas.	
Red			Overall Level of Damage: Substantial to Partial Collapse or Severe Cracking per the PEER. Severe Cracking, Separation from Structure (Section 12.2.5: Interior Walls, Partitions, and Glazing)

Nonstructural Performance Level Architectural Components

Ceilings



PEER	FEMA 356	Vision 2000	ATC 20
Green	Generally negligible damage. Isolated suspended panel dislocations, or cracks in hard ceilings.	Generally negligible damage. Isolated suspended panel dislocations, or cracks in hard ceilings.	
Green	Minor damage. Some suspended ceiling tiles disrupted. A few panels dropped. Minor cracking in hard ceilings.	Minor damage; some suspended ceiling tiles disrupted, panels dropped; minor cracking in hard ceilings.	
Yellow	Extensive damage. Dropped suspended ceiling tiles. Moderate cracking in hard ceilings.	Extensive damage; Dropped suspended ceilings. Distributed cracking in hard ceilings.	
Yellow	Extensive damage. Dropped suspended ceiling tiles. Moderate cracking in hard ceilings.	Most ceilings damaged; most suspended ceilings dropped; severe cracking in hard ceilings.	
Red			Overall Level of Damage: Collapse or Partial Collapse. Suspended Acoustical Ceilings, Collapse or Severe Cracking. Plaster or Gypsum Ceiling, Separation or Partial Collapse. (Section 12.2.5: Ceilings and Light Fixtures)

Nonstructural Performance Level Architectural Components


Parapets & Ornamentation



PEER	FEMA 356	Vision 2000	ATC 20
Green	Minor damage.		
Green	Minor damage.		
Yellow	Extensive damage; some falling in unoccupied areas.		Obvious parapet falling hazard present (From Table 4.1, Basic Rapid Evaluation Criteria)
Yellow	Extensive damage; some falling in unoccupied areas.		
Red			Severe Parapets: Falling, Displaced Parapets, Critical Elements Not Attached or Reinforced. Concrete Parapets, Major Cracking, Severe Loss of Ornamentation, Concrete Retaining, Evidence of Support Distress, Partial Collapse, Severe Damage Support, Unsupported Tile, Fabric or Damaged Veneer. (Section 12.2.5: Parapets, Ornamentation, and Appendages - Inspection List) Cracked Parapets, Cornices, (Section 7.1: Structural Repair Categories)

Nonstructural Performance Level Architectural Components


Canopies & Marquees*



PEER	FEMA 356	Vision 2000 (not a Vision 2000 system)	ATC 20
Green	Minor damage.		
Green	Minor damage.		
Yellow	Moderate damage.		
Yellow	Moderate damage.		
Red			Canopies and Marquees: Collapse, Tilt or Severe Separation. (Section 6.1: Wood Frame Dwellings and Small Buildings) Overall Level of Damage: Any loss of load-carrying capacity indicates potential instability. Failure of Support at Building. (Section 12.2.5: Canopies)

Nonstructural Performance Level Architectural Components

Chimneys & Stacks*



PEER	FEMA 356	Vision 2000 (not a Vision 2000 system)	ATC 20
Green	Negligible damage.		
Green	Minor cracking.		
Yellow	Extensive damage. No collapse.		Obvious chimney falling hazard present (From Table 4.1, Basic Rapid Evaluation Criteria)
Yellow	Extensive damage. No collapse.		
Red			Chimneys: Chimney Falling Hazard. (Section 6.1: Wood Frame Dwellings and Small Buildings)

Nonstructural Performance Level
Architectural Components




Stairs & Fire Escapes




PEER	FEMA 356	Vision 2000	ATC 20
	Negligible damage.	Not impaired.	
	Minor damage.	No major obstructions in exit corridors. Elevators can be restarted perhaps following minor servicing.	
	Some racking and cracking of slabs. Usable.	No major obstructions to exit corridors. Elevators may be out of service for an extended period.	
	Extensive racking. Loss of use.	Egress may be obstructed.	
		Egress may be highly or completely obstructed.	Stairs/Exits: All exits are Blocked or Otherwise Unusable. Unsafe Condition at Stairway, Exitway, or Inoperable Exit Door. (Section 12.2.8; Other Hazards)

Nonstructural Performance Level
Architectural Components



Doors

PEER	FEMA 356	Vision 2000	ATC 20
	Minor damage. Doors operable.	Negligible damage.	
	Minor damage. Doors operable.	Minor damage.	
	Distributed damage. Many racked and jammed doors.	Distributed damage. Some racked and jammed doors.	
	Distributed damage. Many racked and jammed doors.	Distributed damage. Many racked and jammed doors.	
			Stairs/Exits: Inoperable Exit Door. (Section 12.2.8; Other Hazards)

Nonstructural Performance Level
Mechanical, Electrical, and Plumbing Systems/Components*

Light Fixtures

PEER	FEMA 356	Vision 2000 ("In/Architectural Elements")	ATC 20
	Negligible damage.	Negligible damage; pendant fixtures sway.	
	Minor damage. Some pendant lights broken.	Minor damage. Some pendant lights broken; falling hazards broken.	
	Many broken light fixtures. Falling hazards generally avoided in heavier fixtures (>20 pounds).	Many broken light fixtures; falling hazards generally avoided in heavier fixtures (>20 lbs +/-).	
	Many broken light fixtures. Falling hazards generally avoided in heavier fixtures (>20 pounds) in areas of public assembly.	Extensive damage; falling hazards occur.	
			Pendant Fluorescent Light Fixtures: Damaged Stems (without Cables). Collapse of Some Fixtures. Other Light Fixtures: Obvious or Possible Falling Hazard. (Section 12.2.4; Ceilings and Light Fixtures)

Nonstructural Performance Level
Mechanical, Electrical, and Plumbing Systems/Components




Elevators



PEER	FEMA 356	Vision 2000	ATC 20
	Elevators operate.	Elevators operational with limited exceptions.	
	Elevators operable; can be started when power restored.	Elevators generally operational; most can be restarted.	
	Elevators out of service; counterweights do not damage.	Some elevators out of service.	
	Elevators out of service; counterweights off rails.	Many elevators out of service.	
			Cables: Suspended Damage. Safety Protection Devices: Operable with Possible Issues. Trip Counterweights: Counterweights Out of Guide. Cable Ways: Damaged Parts. Guide Rails: Broken Brackets. Elevator Machinery: Equipment Arranged Properly. Storage Machinery: Cables Out of Sheaves. Door or Door Damage. (Section 12.2.7; Stairways)

Nonstructural Performance Level
Mechanical, Electrical, and Plumbing Systems/Components

HVAC Equipment

PEER	FEMA 356	Vision 2000	ATC 20
	Units are secure and operate. Emergency power and other utilities provided, if required.	Negligible Damage all remain in service.	
	Units are secure and most operate if power and other required utilities are available.	Minor damage. Some units, not essential to function out-of-service.	
	Units shift on supports, rupturing attached ducting, piping, and conduit, but do not fall.	Many units non-operational; some slide or overturn.	
	Most units do not operate; many slide or overturn; some suspended units fall.	Most units non-operational; many slide or overturn; some pendant units fall.	
			Gas- and Fuel Oil-Fired Equipment: Overturning or Sliding of Equipment. Gas or Fuel Line Break or Leak. Broken Exhaust Pipes. Other Mechanical and Electrical Equipment: Falling Hazard Presents. (Section 12.2.6; Mechanical and Electrical)

Nonstructural Performance Level
Mechanical, Electrical, and Plumbing Systems/Components

Manufacturing Equipment*

PEER	FEMA 356	Vision 2000 (not a Vision 2000 system)	ATC 20
	Units secure and operable; power and utilities available.		
	Units secure, and most operable if power and utilities available.		
	Units slide, but do not overturn; utilities not available; some realignment required to operate.		
	Units slide and overturn; utilities disconnected. Heavy units require reconnection and realignment. Sensitive equipment may not be functional.		

Nonstructural Performance Level
Mechanical, Electrical, and Plumbing
Systems/Components

Ducts

PEER	FEMA 356	Vision 2000	ATC 20
	Negligible damage.	Negligible damage.	
	Minor damage at joints, but ducts remain serviceable.	Minor damage, but systems remain in service.	
	Ducts break loose of equipment and louvers; some supports fall; some ducts fall.	Some ducts rupture; some supports fall but ducts do not fall.	Overhead Piping and Ducts: Occasional Failed Supports. (Section 12.2.8: Mechanical and Electrical Equipment)
	Ducts break loose of equipment and louvers; some supports fall; some ducts fall.	Most systems out of commission; some ducts fall.	
			Overhead Piping and Ducts: Many Failed Supports. (Section 12.2.8: Mechanical and Electrical Equipment)

Nonstructural Performance Level
Mechanical, Electrical, and Plumbing
Systems/Components



Piping

PEER	FEMA 356	Vision 2000	ATC 20
	Negligible damage.	Negligible damage.	
	Minor leaks develop at a few joints.	Minor damage. Minor leaking may occur.	
	Minor damage at joints, with some leakage. Some supports damaged, but systems remain suspended.	Some pipes rupture at connections; many supports fall; few fire sprinkler heads fall.	Overhead Piping and Ducts: Occasional Failed Supports. (Section 12.2.8: Mechanical and Electrical Equipment)
	Some lines rupture. Some supports fail. Some piping falls.	Many pipes rupture at connections; supports fail; some piping systems collapse.	
			Overhead Piping and Ducts: Many Failed Supports. (Section 12.2.8: Mechanical and Electrical Equipment)

Nonstructural Performance Level
Mechanical, Electrical, and Plumbing
Systems/Components

Fire Sprinkler Systems*

PEER	FEMA 356	Vision 2000 (Not a Vision 2000 system)	ATC 20
	Negligible damage.		
	Minor leakage at a few heads or pipe joints. System remains operable.		
	Some sprinkler heads damaged by swaying ceilings. Leaks develop at some couplings.		
	Some sprinkler heads damaged by collapsing ceilings. Leaks develop at couplings. Some branch lines fail.		
			Fire Protection/Detection Equipment: Fire Protection / Detection Equipment Inoperable (Section 12.2.8: Other Hazards)

Nonstructural Performance Level
Mechanical, Electrical, and Plumbing
Systems/Components

Fire Alarm Systems


PEER	FEMA 356	Vision 2000	ATC 20
	System is functional.	Functional.	
	System is functional.	Functional.	
	Ceiling mounted sensors damaged. May not function.	Not functional.	
	Ceiling mounted sensors damaged. May not function.	Not functional.	
			Fire Protection/Detection Equipment: Fire Protection / Detection Equipment Inoperable (Section 12.2.8: Other Hazards)

Nonstructural Performance Level
Mechanical, Electrical, and Plumbing
Systems/Components

Emergency Lighting

PEER	FEMA 356	Vision 2000	ATC 20
	System is functional.	Functional.	
	System is functional.	Functional.	
	Some lights fail. Power may be available from emergency generator.	Functional.	
	Some lights fail. Power may not be available.	Not functional.	

Nonstructural Performance Level
Mechanical, Electrical, and Plumbing
Systems/Components



Electrical Distribution Equipment

PEER	FEMA 356	Vision 2000	ATC 20
	Units are functional. Emergency power is provided, as needed.	Negligible damage.	
	Units are secure and generally operable. Emergency generators start, but may not be adequate to service all power requirements.	Minor damage; panels restrained, isolated loss of function in secondary systems.	
	Units shift on supports and may not operate. Generators provided for emergency power start; utility service lost.	Moderate damage; panels restrained from overturning; some loss of function and service in primary systems.	
	Units slide and/or overturn, rupturing attached conduit. Uninterruptible Power Source systems fail. Diesel generators do not start.	Extensive damage and loss of service.	
			Fallen Electric Lines: Fallen Electric Lines (Section 12.2.8: Other Hazards)

Nonstructural Performance Level
Mechanical, Electrical, and Plumbing
Systems/Components

Plumbing*

PEER	FEMA 356	Vision 2000 <small>(not a Vision 2000 system)</small>	ATC 20
	System is functional. On-site water supply provided, if required.		
	Fixtures and lines serviceable; however, utility service may not be available.		
	Some fixtures broken; lines broken; mains disrupted at source.		
	Some fixtures broken; lines broken; mains disrupted at source.		

Nonstructural Performance Level
Contents

Computer Systems

PEER	FEMA 356	Vision 2000	ATC 20
	Units undamaged and operable; power available.	Operational.	
	Units secure and remain connected. Power may not be available to operate, and minor internal damage may occur.	Minor damage; some sliding and overturning. Mostly functional.	
	Units shift and may disconnect cables, but do not overturn. Power not available.	Extensive damage from sliding, overturning, leaks, falling debris, etc.	
	Units roll and overturn, disconnect cables. Raised access floors collapse. Power not available.	Extensive damage from sliding, overturning, leaks, falling debris, etc.	

Nonstructural Performance Level
Contents

Desktop Equipment*

PEER	FEMA 356	Vision 2000 <small>(not a Vision 2000 system)</small>	ATC 20
	Equipment secured to desks and operable.		
	Some equipment slides off desks.		
	Some equipment slides off desks.		
	Some equipment slides off desks.		

Nonstructural Performance Level
Contents

File Cabinets

PEER	FEMA 356	Vision 2000	ATC 20
	Drawers slide open, but cabinets do not tip.	Negligible damage.	
	Drawers slide open, but cabinets do not tip.	Minor damage; some sliding and overturning.	
	Cabinets overturn and spill contents.	Extensive damage from sliding, overturning, leaks, falling debris, etc.	
	Cabinets overturn and spill contents.	Extensive damage from sliding, overturning, leaks, falling debris, etc.	

Nonstructural Performance Level
Contents

Book Shelves

PEER	FEMA 356	Vision 2000	ATC 20
	Books remain on shelves.	Negligible damage.	
	Books slide on shelves.	Minor damage; some overturning and spilling.	
	Books slide off shelves.	Extensive damage from leaks, falling debris, overturning etc.	
	Shelves overturn and spill contents.	Extensive damage from leaks, falling debris, overturning etc.	

Nonstructural Performance Level
Contents

Hazardous Materials

PEER	FEMA 356	Vision 2000	ATC 20
	Negligible damage; materials contained.	Negligible damage; overturning and spillage restrained.	
	Negligible damage; materials contained.	Negligible damage; overturning and spillage restrained.	
	Minor damage; occasional materials spilled; gaseous materials contained.	Negligible damage; overturning and spillage generally restrained.	
	Minor damage; occasional materials spilled; gaseous materials contained.	Severe damage; some hazardous materials released.	
			Other Hazards: Gas Leak, (Section 6.1: Wood-Frame Dwellings and Small Buildings) Chemical / Flammable Material Areas: Spill of Known or Suspected Dangerous Materials, Storage Tanks, Vessels, and Piping; Leakage of Unknown

Nonstructural Performance Level Contents

Art Objects*

PEER	FEMA 356	Vision 2000 (†in "Art works, collections")	ATC 20
	Objects undamaged.	Minor damage; overturning restrained.	
	Some objects may be damaged by falling.	Moderate damage; overturning restrained; some spilling.	
	Objects damaged by falling, water, dust.	Extensive damage from leaks, falling debris, overturning, spilling etc.	
	Objects damaged by falling, water, dust.	Extensive damage from leaks, falling debris, overturning, spilling etc.	

Nonstructural Performance Level Contents

Furniture*

PEER	FEMA 356 (†not a FEMA 356 system)	Vision 2000	ATC 20
		Negligible damage.	
		Minor damage; some sliding and overturning.	
		Extensive damage from sliding, overturning, leaks, falling debris, etc.	
		Extensive damage from sliding, overturning, leaks, falling debris, etc.	

Nonstructural Performance Level Contents

Office equipment*

PEER	FEMA 356 (†not a FEMA 356 system)	Vision 2000	ATC 20
		Negligible damage.	
		Minor damage; some sliding and overturning.	
		Extensive damage from sliding, overturning, leaks, falling debris, etc.	
		Extensive damage from sliding, overturning, leaks, falling debris, etc.	

Nonstructural Performance Level Contents

Storage racks & cabinets*

PEER	FEMA 356 (†not a FEMA 356 system)	Vision 2000	ATC 20
		Negligible damage; overturning restrained.	
		Moderate damage; overturning restrained; some spilling.	
		Extensive damage from leaks, falling debris, overturning, spilling etc.	
		Extensive damage from leaks, falling debris, overturning, spilling etc.	

Structural Performance Level Vertical Element

Concrete Frames

PEER	FEMA 356	Vision 2000	ATC 20
		Primary Component: Negligible. Secondary Component: Negligible.	
	Primary Component: Minor flexure cracking. Limited spalling, spalling at a few locations. No crushing. Slabs and beams. Secondary Component: Extensive cracking and large spalls in some non-ductile columns. Severe damage to short columns. Drift: 1% transient; negligible permanent.	Primary Component: Minor flexure cracking (0.02") limited spalling possible at a few locations. No crushing. Slabs and beams. Secondary Component: Same as primary. Drift: 2% transient; 1% permanent.	
	Primary Component: Extensive damage to beams. Spalling of cover and shear cracking (<1/8" width) for ductile columns. Minor spalling in non-ductile columns. Joints cracked <1/8" width. Secondary Component: Extensive cracking and large spalls in some non-ductile columns. Severe damage to short columns. Drift: 2% transient; 1% permanent.	Primary Component: Extensive damage to beams. Spalling of cover and shear cracking (<1/8") for ductile columns. Minor spalling in non-ductile columns. Joints cracked <1/8" width. Secondary Component: Same as primary. Drift: 2% transient; 1% permanent.	
	Primary Component: Extensive Cracking and large spalling in ductile elements. Limited cracking and/or spalling in some non-ductile columns. Severe damage to short columns. Secondary Component: Extensive spalling in columns (flexure, shear) and beams. Severe joint damage. Some reinforcing buckled. Drift: 4% transient or permanent.	Primary Component: Extensive cracking and large spalling in ductile elements. Limited cracking and/or spalling in some non-ductile columns. Severe damage to short columns. Secondary Component: Extensive spalling in columns (flexure, shear) and beams. Severe joint damage. Some reinforcing buckled. Drift: 2% transient or permanent.	Columns: Columns Inadequately Cast or Placed, Buckled or Failed Columns, (Section 5.1, 7th ed. Chapter 18) Slabs and Beams: Separation from Vertical Support, Shear Failure or Inadequate Flexure or Significant Vertical Load-Carrying Damage or Collapse, Fatigue Components, Bolted and Welded Connections, Buckling of Columns, Buckled or Failed Columns, Member Buckling and Displacement of Vertical

Structural Performance Level Vertical Element

Steel Moment Frames

PEER	FEMA 356	Vision 2000	ATC 20
		Primary Component: Negligible. Secondary Component: Negligible.	
	Primary Component: Minor local yielding at a few places. No fracture. Minor buckling in shearable panelled sections of members. Secondary Component: Same as primary. Drift: 2% transient; negligible permanent.	Primary Component: Minor local yielding at a few places. No fracture. Minor buckling or observable panelled sections of members. Secondary Component: Same as primary. Drift: 2% transient; 1% permanent.	
	Primary Component: Hinges form. Local buckling of some beam elements. Severe joint distortion, buckled moment-resisting connections. A few elements may experience partial fracture. Secondary Component: Extensive distortion of beams and column panels. Many fractures at moment-resisting connections, but shear connections remain intact. Drift: 2% transient; 1% permanent.	Primary Component: Hinges form. Local buckling of some beam elements. Severe joint distortion, buckled moment-resisting connections. A few elements may experience partial fracture. Secondary Component: Extensive distortion of beams and column panels. Many fractures at connections. Drift: 2% transient or permanent.	Overall Damage: Collapse or Partial Collapse, Building or Individual Story Nonductile Loading, Member Fracture, Buckling, Lateral or Out-of-Plane Displacement, Buckling, Yielding, Other Catastrophic Failure or Member Joint, Floor Slab/Decking over column, Nonductile Reinforced Concrete, (Section 18.2, Moment-Resisting Frames)
	Primary Component: Extensive distortion of beams and column panels. Many fractures at moment-resisting connections, but shear connections remain intact. Secondary Component: Same as primary. Drift: 2% transient or permanent.	Primary Component: Extensive distortion of beams and column panels. Many fractures at connections. Secondary Component: Extensive distortion of beams and column panels. Many fractures at connections. Drift: 2% transient or permanent.	Overall Damage: Collapse or Partial Collapse, Building or Individual Story Nonductile Loading, Member Fracture, Buckling, Lateral or Out-of-Plane Displacement, Buckling, Yielding, Other Catastrophic Failure or Member Joint, Floor Slab/Decking over column, Nonductile Reinforced Concrete, (Section 18.2, Moment-Resisting Frames)

Literature References
Nonstructural Performance Levels—*con't*

System	FEMA 355 System Name	Reference	VISION 2000 System Name	Reference	ATC20 Reference
Light Fixtures	Light Fixtures	Table C1-6: Nonstructural Performance Levels and Damage-Mechanical, Electrical, and Plumbing Systems/Components	Light Fixtures	Table 2-9: Performance Levels and Permissible Structural Damage - Architectural Elements	Section 12.2.4: Ceilings and Light Fixtures
Plumbing	Plumbing	Table C1-6: Nonstructural Performance Levels and Damage-Mechanical, Electrical, and Plumbing Systems/Components	N/A	N/A	N/A
Computer Systems	Computer Systems	Table C1-7: Nonstructural Performance Levels and Damage-Contents	Computer Systems	Table 2-11: Performance Levels and Permissible Damage - Contents	N/A
Display Equipment	Display Equipment	Table C1-7: Nonstructural Performance Levels and Damage-Contents	N/A	N/A	N/A
File Cabinets	File Cabinets	Table C1-7: Nonstructural Performance Levels and Damage-Contents	File Cabinets	Table 2-11: Performance Levels and Permissible Damage - Contents	N/A
Book Shelves	Book Shelves	Table C1-7: Nonstructural Performance Levels and Damage-Contents	Bookshelves	Table 2-11: Performance Levels and Permissible Damage - Contents	Section 6.1: Wood Frame Ceilings and Bookshelves, Section 12.2.4: Other Elements
Historical Materials	Historical Materials	Table C1-7: Nonstructural Performance Levels and Damage-Contents	Historical Materials	Table 2-11: Performance Levels and Permissible Damage - Contents	Section 6.1: Wood Frame Ceilings and Bookshelves, Section 12.2.4: Other Elements
Art Objects	Art Objects	Table C1-7: Nonstructural Performance Levels and Damage-Contents	Art works, collections	Table 2-11: Performance Levels and Permissible Damage - Contents	N/A
Furniture	N/A	N/A	Furniture	Table 2-11: Performance Levels and Permissible Damage - Contents	N/A
Office equipment	N/A	N/A	Office equipment	Table 2-11: Performance Levels and Permissible Damage - Contents	N/A
Storage racks & cabinets	N/A	N/A	Storage racks & cabinets	Table 2-11: Performance Levels and Permissible Damage - Contents	N/A

Literature References
Structural Performance Levels

System	FEMA 355 System Name	Reference	VISION 2000 System Name	Reference	ATC20 Reference
Concrete Frames	Concrete Frames	Table C1-3: Structural Performance Levels and Damage-Vertical Elements	Concrete Frames	Table 2-7a, 2-7b & 2-7c: Performance Levels and Permissible Structural Damage - Vertical Elements	Section 8.1: Tie-up Structures, Section 9.2: Cast-in-Place Concrete Building
Steel Moment Frames	Steel Moment Frames	Table C1-3: Structural Performance Levels and Damage-Vertical Elements	Steel Moment Frames	Table 2-7a, 2-7b & 2-7c: Performance Levels and Permissible Structural Damage - Vertical Elements	Section 8.1: Tie-up Structures, Section 9.2: Cast-in-Place Concrete Building
Brazed Steel Frames	Brazed Steel Frames	Table C1-3: Structural Performance Levels and Damage-Vertical Elements	Brazed Steel Frames	Table 2-7a, 2-7b & 2-7c: Performance Levels and Permissible Structural Damage - Vertical Elements	Section 10: Brazed Steel Frames
Concrete Walls	Concrete Walls	Table C1-3: Structural Performance Levels and Damage-Vertical Elements	Concrete shear walls	Table 2-7a, 2-7b & 2-7c: Performance Levels and Permissible Structural Damage - Vertical Elements	Section 8.1: Tie-up Structures, Section 9.2: Cast-in-Place Concrete Building
Unreinforced Masonry Infill Walls	Unreinforced Masonry Infill Walls	Table C1-3: Structural Performance Levels and Damage-Vertical Elements	Unreinforced Masonry Infill Walls	Table 2-7a, 2-7b & 2-7c: Performance Levels and Permissible Structural Damage - Vertical Elements	Section 7.1: Unreinforced Masonry Construction, Section 9.2: Cast-in-Place Concrete Building
Unreinforced Masonry (Reveal) Walls	Unreinforced Masonry (Reveal) Walls	Table C1-3: Structural Performance Levels and Damage-Vertical Elements	Unreinforced Masonry (Reveal) Walls	Table 2-7a, 2-7b & 2-7c: Performance Levels and Permissible Structural Damage - Vertical Elements	Section 7.1: Unreinforced Masonry Construction
Reinforced Masonry Walls	Reinforced Masonry Walls	Table C1-3: Structural Performance Levels and Damage-Vertical Elements	Reinforced Masonry Walls	Table 2-7a, 2-7b & 2-7c: Performance Levels and Permissible Structural Damage - Vertical Elements	Section 9.2: Cast-in-Place Concrete Building
Wood Stud Walls	Wood Stud Walls	Table C1-3: Structural Performance Levels and Damage-Vertical Elements	Wood Stud Walls	Table 2-7a, 2-7b & 2-7c: Performance Levels and Permissible Structural Damage - Vertical Elements	Section 8.1: Wood Frame Ceilings and Small Buildings, Section 8.2: Commercial, Institutional, and Industrial Structures

Literature References
Structural Performance Levels—*con't*

System	FEMA 355 System Name	Reference	VISION 2000 System Name	Reference	ATC20 Reference
Precast Concrete Corbeles	Precast Concrete Corbeles	Table C1-3: Structural Performance Levels and Damage-Vertical Elements	N/A	N/A	Section 9.3: Precast Element Structures, Section 9.4: Liftable Structures
Foundations	Foundations	Table C1-3: Structural Performance Levels and Damage-Vertical Elements	Foundations	Table 2-7a, 2-7b & 2-7c: Performance Levels and Permissible Structural Damage - Vertical Elements	Section 6.1: Wood Frame Ceilings and Small Buildings, Section 6.2: Commercial, Institutional, and Industrial Structures, Section 7.1: Unreinforced Masonry Construction, Section 7.2: Reinforced Masonry Construction, Section 8.1: Tie-up Structures, Section 9.2: Cast-in-Place Concrete Building, Section 10: Brazed Steel Frames, Section 10.3: Prefabricated Metal Buildings
Head-Drift Diaphragms	Head-Drift Diaphragms	Table C1-4: Structural Performance Levels and Damage-Horizontal Elements	Head-Drift Diaphragms	Table 2-8: Performance Levels and Permissible Structural Damage - Horizontal Elements	Section 10.1: Brazed Steel Frames
Wood Diaphragms	Wood Diaphragms	Table C1-4: Structural Performance Levels and Damage-Horizontal Elements	Wood Diaphragms	Table 2-8: Performance Levels and Permissible Structural Damage - Horizontal Elements	Section 6.1: Wood Frame Ceilings and Small Buildings, Section 6.2: Commercial, Institutional, and Industrial Structures
Concrete Diaphragms	Concrete Diaphragms	Table C1-4: Structural Performance Levels and Damage-Horizontal Elements	Concrete Diaphragms	Table 2-8: Performance Levels and Permissible Structural Damage - Horizontal Elements	Section 7.1: Unreinforced Masonry Construction, Section 9.2: Cast-in-Place Concrete Building, Section 9.3: Precast Concrete Building, Section 9.4: Tie-up Structures, Section 9.2: Cast-in-Place Concrete Building
Precast Diaphragms	Precast Diaphragms	Table C1-4: Structural Performance Levels and Damage-Horizontal Elements	N/A	N/A	N/A
Prefabricated Metal	N/A	N/A	N/A	N/A	Section 10.3: Prefabricated Metal Buildings
Geotechnical Hazards	N/A	N/A	N/A	N/A	Section 11.2: Geotechnical Hazards

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Postearthquake safety evaluation of buildings: ATC 20. PowerPoint Presentation Based on Original ATC 20 Training Materials Developed by Applied Technology Council, Redwood City, California. Principal Investigator: Christopher Rojahn. Prepared for ATC by: R.P. Gallagher Associates, Inc. San Francisco, California Principal-in-Charge: Ronald P. Gallagher. [ATC 20 Training]

Prestandard and commentary for the seismic rehabilitation of buildings. Prepared by American Society of Civil Engineers. Washington, D.C.: Federal Emergency Management Agency, 2000. [FEMA 356]

Earthquake Performance of Nonstructural Systems

by
Bill Gates, S.E.
Vice President, URS Corporation

ATC-58 Workshop
San Francisco, CA
AUGUST 25, 2005



1979 Imperial Valley Earthquake



Collapsed Water Tower - Brawley, California



Stair Tower Collapse on Daycare Wing



Olive View Hospital 1971 San Fernando Earthquake



Effects of Tower Collapse



Olive View Hospital 1971 San Fernando Earthquake



Soft First Story Effect



Olive View Hospital 1971 San Fernando Earthquake



Brittle / Non-ductile Corner Column



Olive View Hospital
1971 San Fernando Earthquake



First Floor Cafeteria



Olive View Hospital 1971 San Fernando Earthquake



Jammed Elevator Doors



Olive View Hospital 1971 San Fernando Earthquake



Window Failure

Olive View Hospital



Broken Window



1994 Northridge Earthquake



Parapet Wall Failure



1979 Mexicali, Mexico




Failures from Parapet Wall Collapse




1979 Mexicali, Mexico



Roof Failure from Parapet Wall Collapse




1979 Mexicali, Mexico


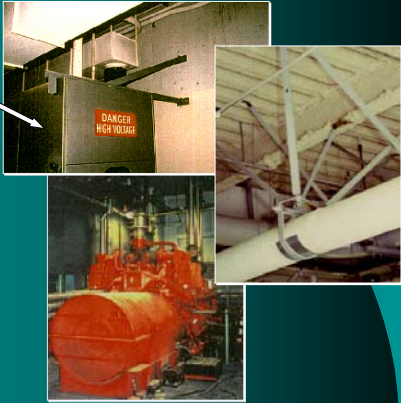



Unreinforced Masonry


Curtain Wall Failure




1989 Loma Prieta Earthquake




Central Plant Pipe Breaks



1971 San Fernando Earthquake



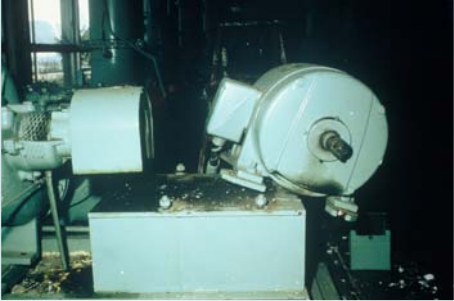
Cast Iron Valve




1971 San Fernando Earthquake



Broken Motor Anchorage



1971 San Fernando Earthquake



Electric A-C Harmonic Filter Reactors



1971 San Fernando Earthquake



Expansion Anchor Bolt



1971 San Fernando Earthquake



Vane Axial Fan



Olive View Hospital
1994 Northridge



Collapsed Control Room Ceiling



1971 San Fernando Earthquake



Space Simulation Lab



Before 1994 Northridge Earthquake



Space Simulation Lab



After 1994 Northridge Earthquake



Collapsed Computer Room Ceiling



1965 Seattle Earthquake



Seismic Ceiling Support Grid



To Support All Above Ceiling Systems



Seismic Ceiling Support Grid



Seismic Ceiling Support Grid Installation



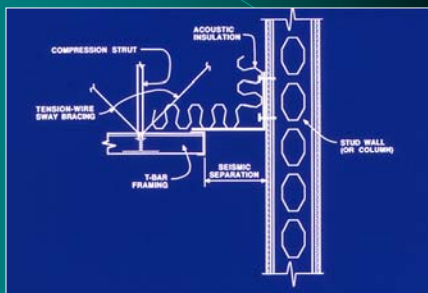
Damaged T-Bar Grid Ceiling



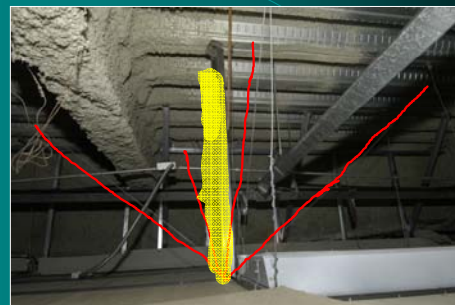
1980 Livermore Earthquake



Seismic Ceiling Retrofit To Prevent Pounding



Above Ceiling Sway Bracing

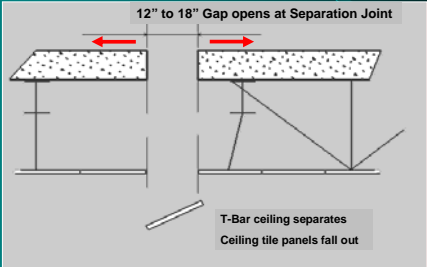


Diagonal tie wire bracing



Seismic Separation at Ceiling

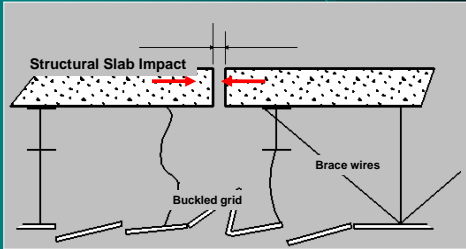
Joint opens during earthquake



URS

Seismic Separation at Ceiling

Joint closes with impact during earthquake

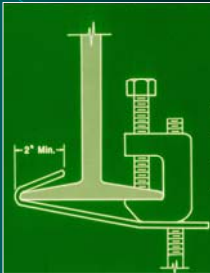


URS

Above Ceiling Pipe Supports



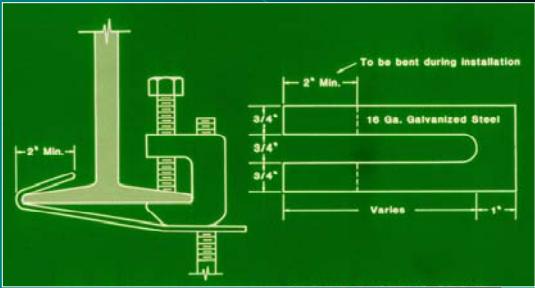
C Clamp Pipe Support



Clamp Strap

URS

Above Ceiling Pipe Support



Retainer Strap for C Clamp

URS

Computer Floor System



Pedestal Components



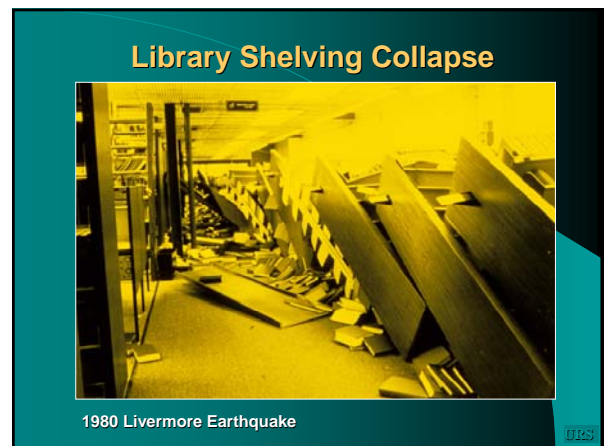
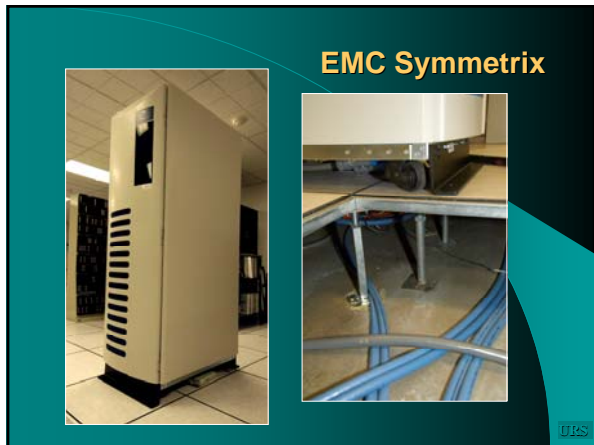
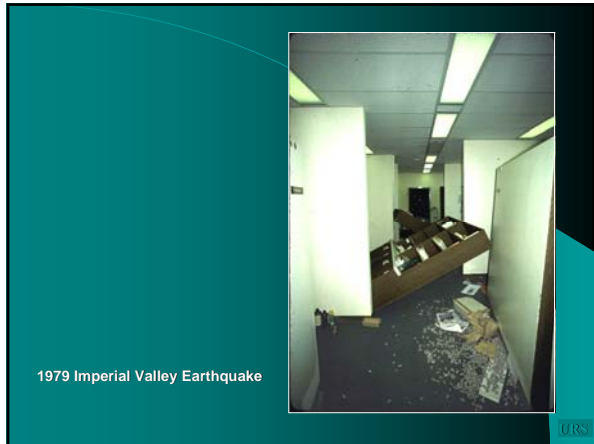
Pedestal Assembly

URS



Glued pedestal base will fail

URS



Tape Storage Racks



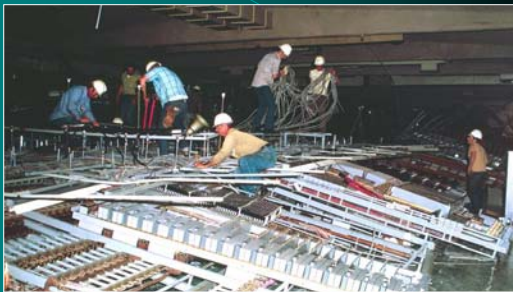
URS

Tape Rack Collapse



URS

Telecom Switch Gear Collapse



1971 San Fernando Earthquake

URS

UPS / Battery Room



URS

Battery Rack Collapse



1964 Alaskan Earthquake

URS

What are the Collateral Hazards?



Acid Spill from Cracked Batteries

URS

Paloma Recycling Plant



1952 Bakersfield Earthquake

URS

**Nonstructural Components
Based on Past
Economic Analysis**

J. M. Ferritto

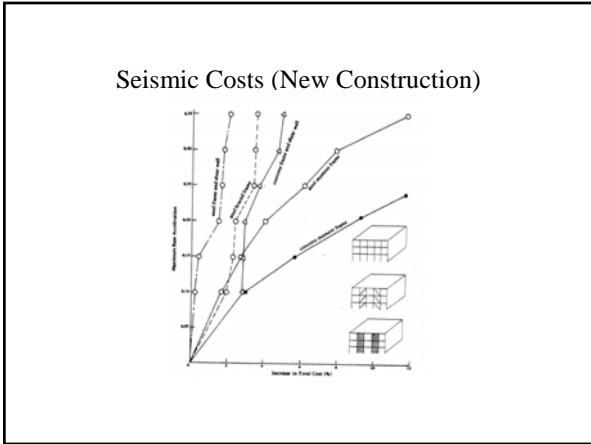
August 2005

- John Ferritto**
- Naval Civil Engr Lab/NFESC ('69-'00)
 - Navy 6.2 Seismic Research Program
 - 1982-1984 Economic Analysis
 - Chapter 7 NAVFAC P355.2
 - Civil Engineering Practice, Volume 1, Structures, Economics of Seismic Design
 - 1995 State of California (OSA) SB920
 - EA with new EHMT (Base Isolation)
 - Douglas Way
 - California Marine Oil Terminals
 - Chapter 6 Risk and Economics

- Objective of Navy Economic
Analysis Previous Work**
- Navy has large building inventory
 - NEHRP retrofitting \$\$\$\$\$
 - New construction design levels ??
 - Independent look/approach
 - Justification for added strengthening

- Cost of Seismic Strengthening**
- Martin & Saunders cost estimate
 - Used an actual building for data
 - Typical Navy construction - 4 story
 - Building components
 - Drift vs Acceleration
 - Nonstructural components based on cost breakdown categories

- Experienced engineering firm (Walt Saunders)
- Actual basic building -no seismic
 - Add seismic (0.1 to 0.35g at 0.05g increments
 - Elastic limit at each design level
- Various alternative designs
 - Steel and concrete frame
 - Moment frame, braced frame, and shear wall
- Result was a set of specific structural member sizes and detailed component costs



Component	Cost (\$)	Repair Multiplier
1. Braced frame	126,800	2.0
2. Nonseismic structural frame	625,500	1.5
3. Masonry	417,600	2.0
4. Windows and frames	120,600	1.5
5. Partitions, architectural elements	276,200	1.25
6. Floor	301,200	1.5
7. Foundation	412,100	1.5
8. Building equipment and plumbing	731,600	1.25
9. Contents	500,000	1.05

Typical Displacement Components

Structural Nonstructural

Component	Cost (\$)	Repair Multiplier
1. Braced frame	126,800	2.0
2. Floor and roof	301,200	1.5
3. Ceiling and lights	288,500	1.25
4. Building equipment and plumbing	731,600	1.25
5. Elevators	57,000	1.5
6. Foundation	412,100	1.5
7. Contents	500,000	1.05

Typical Acceleration Components

Structural Nonstructural

- Nonstructural Elements based On Cost Estimate
- Walls/Partitions/Masonry Infill
 - Nonseismic frame
 - Floor/roof
 - Foundation
 - Windows and Frames
 - Ceiling and Lights
 - Plumbing, Electrical, and HVAC
 - Elevators/Stairs
 - Contents

- Component Damage
- Drift Components
 - Acceleration Components

References

- Whitman (1973) Damage Probability Matrices MIT R73-57 R8
- Culver et al. (1975) Natural Hazards Evaluation NBS BSS61
- Leslie & Biggs (1972) Optimum Seismic Protection MIT No 341 May
- Pate' (1979) Acceptance of Social Cost, USNCEE Stanford Aug
- Walker (1977) Automated Design UC Berkeley EERC 77-12

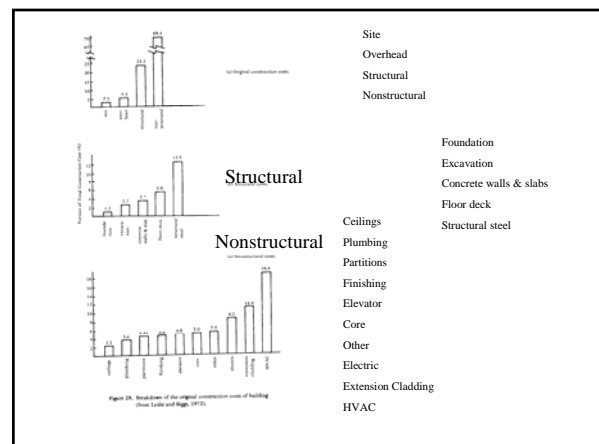


Table 13. Cost Increase for Increasing Seismic Force Design Levels (from Leslie et al., 1972)

Item	Percentage Total Cost of Item (%)	Increase Over Original Total Construction Cost (%) for Zones --			
		1	2	3	4
Structural					
Structural Steel ^a	12.5	-	0.171	2.68	5.4 ^b 6.6 ^c
Foundation ^a	1.2	-	0.162	0.162	0.162
Concrete Walls and Slab ^a	3.7	-	0.024	0.09	0.102
Composite Deck ^a	5.8	-	-	-	-
Total Structural	23.2	-	0.36	2.93	5.66 ^b 6.86 ^c

Nonstructural					
Masonry Core ^a	5.0	0.55	0.55	0.55	0.71
Precast Panels ^a	4.73	-	0.024	0.033	0.067
Plumbing	3.6	-	-	0.022	0.022
HVAC	11.8	-	0.032	0.10	0.197
Electrical (including lights)	8.5	-	0.022	0.104	0.104
Elevators	4.8	-	0.027	0.131	0.160
Window Systems	4.3	-	-	-	0.427 ^d
Partitions	4.45	-	0.012	0.163	0.163
Acoustical Ceilings	2.2	-	-	0.174	0.163
Miscellaneous Metals	2.7	-	0.07	0.089	0.089
Total Nonstructural	68.35	0.33	0.76	1.36	2.31
Total Code Design (Code Design Items)	32.93	0.55	0.934	3.313	7.837
Maximum Design ^a	91.55	0.55	1.10	4.29	7.97 ^b 9.17 ^c

^aCode design.
^bUsing built-up members.
^cUsing WF 23 girders.

^dNew window system.
^eTotal structural and nonstructural items.

Drift Damage

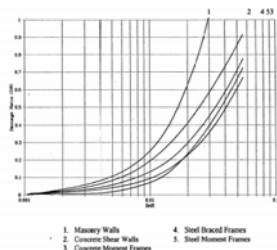


Figure 4. Damage as a function of drift and acceleration. (based on Wai (1995))

Drift Continued

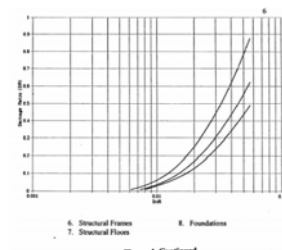


Figure 4. Continued.

Acceleration

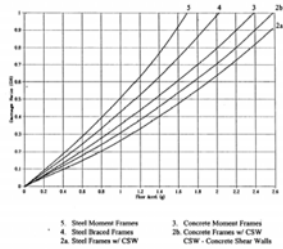


Figure 4. Continued.

Acceleration Continued

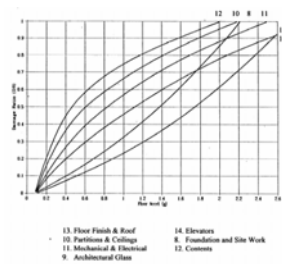


Figure 4. Continued.

Other Loss Costs

Injury and Death

- Historical correlation
 - millions of dollars lost vs number killed
- Ratio Injured vs killed
- Dollar value of life
- Building Occupance Average
- Use local data for consistent building type
- References- ATC-13 and ATC-21

Loss of Use

- Annual salary of all employees as a measure of value of service
- Revenue generated
- Cost of function replacement
- Ability to relocate function
- “Mission Critical”

GSA

- Source of interesting information
- Janatorial cost over life of building exceeds building cost
- Design choice vs. operational cost

NFESC Site

http://www.nfesc.navy.mil/pub_news/abstract.htm

TR 2103-SHR "Seismic Criteria for California Marine Oil Terminals", July 1999, John Ferritto, Stephen Dickenson, Nigel Priestley, and Craig Taylor with Appendices by Douglas Burke, William Seelig, and Shawn Kelly
TR-2055-SHR "Economic Analysis Procedure For Earthquake Hazard Mitigation" by J. M. Ferritto Feb 1997

References

- Ferritto, J (1982) Technical Note N1640, "An Economic Analysis of Earthquake Design Levels", July 1982
- Ferritto, J. (1983) Technical Note N 1671, "An Economic Analysis of Earthquake Design Levels For New Construction", July 1983
- Ferritto, J (1984a) "Economics of Seismic Design for New Building", American Society of Civil Engineers Journal of the Structures Division, ASCE Journal of Structural Engineering Vol. 110 No. 12 Dec. 1984
- Ferritto, J (1984b) "Economics, Expected Damage and Costs of Seismic Strengthening", 8th World Conference on Earthquake Engineering, San Francisco July 1984
- Way, D (1995) "Earthquake Hazard Mitigation Technology Guidelines" prepared for Division of the State Architect, State of California, by Base Isolation Consultants, San Francisco.
- TR-2055-SHR "Economic Analysis Procedure For Earthquake Hazard Mitigation" by J. M. Ferritto Feb 1997

Discussion

Questions?

The End

Analysis Procedure

Seismic Hazard Exposure

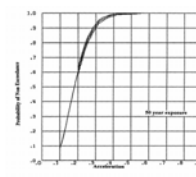


Figure 1. Total probability of non-exceedance of site acceleration.

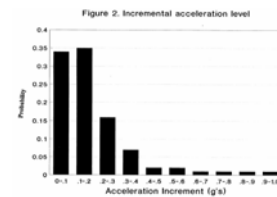
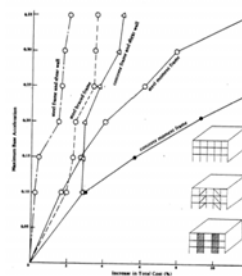


Figure 2. Incremental acceleration level

Present Worth Analysis

- Total Costs Include:
 - Seismic Strengthening (Initial)
 - Sum of Structural Component Damage (PW)
 - Loss of Use Costs (PW)
 - Injury and Death (PW)

Seismic Strengthening Cost



Select a strengthening system, design level, and estimate cost

Damage Cost

- For a given design level (MF 0.2g for example)
 - Conduct series of analyses (in increments from 0 to peak g level)
 - For each analysis
 - Calculate drifts and floor accelerations
 - Calculate component damage ratio

For a given design level and analysis level
 Total Building Damage = Σ (Damage Ratio) * (Component Cost)*
 (Component Repair Multiplier)

Sustained Damage

- Single peak vs sustained repeated levels
- Reference for effective response level
- 65 % of peak used

Table of Damage

Compute damage (Drift + Acceleration) for each design level and each load level

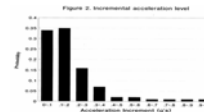
Design Level G's	Applied Load Level G's									
	0	0.05	0.15	0.25	0.35	0.45	0.55	0.65	0.75	0.85
0.10										
0.15										
0.20										
0.25										
0.30										
0.35										

Or alternatively for each design alternative

Expected Damage

Use seismic exposure to compute expected damage

Expected Building Damage = Σ (Total Building Damage for increment
 "bin" of acceleration) * (Acceleration "bin" Probability)



Design Level G's	Applied Load Level G's									
	0	0.05	0.15	0.25	0.35	0.45	0.55	0.65	0.75	0.85
0.10										
0.15										
0.20										
0.25										
0.30										
0.35										

Present Worth of Future Damage

Select interest rate

Current Expected Damage Costs = PV(Expected Building Damage Cost)

Results Sensitive to Interest rate
 Long Term Bond rate

Braced Frame Frame & Shear Wall

Acceleration Increment (g's)	(1) Probability	(1) x (2)		(1) x (3)	
		(2) Damage Ratio Braced Frame	Probable Damage Ratio	(3) Damage Ratio Shear Wall	Probable Damage Ratio
0-1	0.34	0.03	0.0102	0.015	0.0051
1-2	0.35	0.11	0.0385	0.05	0.0175
2-3	0.16	0.175	0.028	0.08	0.0128
3-4	0.07	0.25	0.0175	0.11	0.0077
4-5	0.02	0.305	0.0061	0.14	0.0028
5-6	0.02	0.335	0.0067	0.17	0.0034
6-7	0.01	0.365	0.00365	0.19	0.0019
7-8	0.01	0.41	0.0041	0.22	0.0022
8-9	0.01	0.45	0.0045	0.24	0.0024
9-1.0	0.01	0.485	0.00485	0.26	0.0026
Total Damage Ratio		BF =	0.1241	SW =	0.0584

For 50 years of equal exposure the average Present Worth factor is 0.28

The present value of the damage costs are:

Braced Frame 0.28 * 0.1241 * \$ 5,928,800 = \$206,000

Shear Wall 0.28 * 0.0584 * \$ 5,876,700 = \$96,000

Automated Approach

- Drain2d/Drain3d
- Automate input generation
- Read output files and extract required peak values
- Minimize labor

Observation

- Stiffening reduces drift damage but increases acceleration damage
- Variation in period of structure with increase in design level

Opportunities for Improvement

- Improve / automate damage estimation
 - Time histories of displacement & acceleration
 - Fatigue based numerical index of damage
 - post-process time histories automatically
- Update Damage Ratio criteria
- Expand cost data


Variations on Procedure

- Adaptable to response spectra techniques
- Use specific set of design events rather than seismic probability distribution

ATC-58
Development of Next-Generation Performance-Based
Seismic Design for New and Existing Buildings

Nonstructural Workshop
San Francisco, August 25, 2005

Comments on “Data Points” From the Past



Bob Reitherman, Executive Director
Consortium of Universities for Research in Earthquake Engineering

“Performance”

- “Performance” really means “high performance”
 - emphasis on going beyond code minimums, beyond relatively prescriptive requirements, beyond traditional life safety criteria
 - Nonstructural component lists are intended to improve performance/criteria (e.g., it is rare to use performance-based engineering to argue that code minimums are too high)
- “Performance-based seismic design” really means “design based on quantitative estimates of seismic performance” (i.e., loss estimation)

Structural vis-à-vis Nonstructural Design

- Nonstructural seismic design is largely a matter of designing retrofits--even for new construction
 - e.g., the design and installation of a new suspended ceiling in LA is the same as in LA

Structural vis-à-vis Nonstructural Design

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 - e.g., the design and installation of a new suspended ceiling in LA is the same as in LA
(Los Angeles) (Louisiana)

Structural vis-à-vis Nonstructural Design

- Nonstructural seismic design is largely a matter of designing retrofits--even for new construction
 - e.g., the design and installation of a new suspended ceiling in LA is the same as in LA
(Los Angeles) (Louisiana)
(where, in effect, a seismic retrofit is added to the basic design)

Structural vis-à-vis Nonstructural Design

- In Los Angeles and in Louisiana, a ceiling system is specified that meets architectural needs for:
 - Cost
 - Aesthetics
 - Sound (Noise Reduction Coefficient, Sound Transmission Loss, Reverberation Time)
 - Fire (ASTM E-84: flame spread, smoke generation; fire resistance)
 - Light (reflectance, color)
- And then in Los Angeles, extra wires , edge details, etc. are added to the design to make it seismic

Structural vis-à-vis Nonstructural Design

- Structural seismic design is not largely a matter of designing retrofits for new buildings
 - (e.g., designing an EBF or ductile RC frame is completely different from the start than designing non-seismic structures)
- Need to bring more innovation to the nonstructural portion of the earthquake problem
 - Products as well as design: seismic "design" guidelines must be usable by industries as goals as well as designers
 - Guidelines must provide for future testing and development, not just rely on lists of currently used nonstructural components

How we got here

1778 **complete water system for buildings** (Joseph Bramah, water closet added to pressurized water piping dating back to Romans)

How we got here

1851 **complete metal skeleton** - changing role of walls to nonstructural purpose (Crystal Palace, London, Joseph Paxton, 1851; 1855 McCullough Shot Tower, New York, James Bogardus; 1865 St. Ouen Docks, Paris, Hippolyte Fontaine; 1885 Home Insurance Building, Chicago, William le Baron Jenney; 1918, Hallidie Building, San Francisco, Willis Polk)

How we got here

1853 **elevator** (P.T. Barnum's Crystal Palace, New York, Elisha Graves Otis, 1853 - demonstration of safety brake)

How we got here

1874 **automatic fire sprinklers** (Parmalee Piano Factory, New Haven, Henry Parmalee)

How we got here

1878 **electric light and power** (incandescent light bulb, John Swan, 1878; first long-lasting incandescent light bulb 1879; distribution system, Edison Co., London and New York, 1882; fluorescent lamp, Westinghouse and GE, 1938)

How we got here

1906 **suspended ceiling** (Kuhn and Loeb Bank, New York, A. M. Feldman, 1906; Drake University Laboratories, Des Moines, Saarinen Swanson and Saarinen, 1947; GM Technical Center, Warren, MI, Saarinen Swanson and Saarinen, 1950)

How we got here

1922 **air conditioning** (Grauman's Theater, Los Angeles, Willis Carrier, 1922)

How we got here...but we're not there yet.

- 1778
- 1851
- 1878
- 1906
- 1922

These are old nonstructural technologies, and all were invented and popularized without any thought of earthquakes. Performance-based seismic design as a way to motivate improvements, not just to better analyze current conditions.

Reducing the Risks of Nonstructural Damage

FEMA 74, 1983

SUSPENDED CEILING					
DAMAGE EXAMPLE			PROTECTIVE COUNTERMEASURE		
<p>APPROXIMATE COST: \$20 per sq. ft.</p> <p>APPROXIMATE COST: \$20 per sq. ft.</p>			<p>APPROXIMATE COST: \$20 per sq. ft.</p> <p>APPROXIMATE COST: \$20 per sq. ft.</p>		
EXISTING VULNERABILITY			UPGRADED VULNERABILITY		
SHAKING INTENSITY	EFFECTS	+	+	+	+
LIGHT	only occasional dislodged tile	low	0-5%	low	OK
MODERATE	falling of some of ceiling tiles, especially at perimeter and in large rooms	mod	5-20%	mod	OK
SEVERE	falling of most or all of ceiling tiles, as well as some ceiling mounted equipment and ceiling frame	mod	20-100%	mod	low
<p>+</p> LIFE SAFETY HAZARD \$ % OF REPLACEMENT VALUE DAMAGED POST-EARTHQUAKE OUTAGE			<p>+</p> LIFE SAFETY HAZARD \$ % OF REPLACEMENT VALUE DAMAGED POST-EARTHQUAKE OUTAGE		

Reducing the Risks of Nonstructural Damage

FEMA 74, 1983

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Reducing the Risks of Nonstructural Damage

EXISTING VULNERABILITY						UPGRADED VULNERABILITY					
SHAKING INTENSITY	EFFECTS	+	+	+	+	SHAKING INTENSITY	EFFECTS	+	+	+	+
LIGHT	only occasional dislodged tile	low	0-5%	low	OK	LIGHT	no damage	low	OK	low	OK
MODERATE	falling of some of ceiling tiles, especially at perimeter and in large rooms	mod	5-20%	mod	OK	MODERATE	no damage	low	OK	low	OK
SEVERE	falling of most or all of ceiling tiles, as well as some ceiling mounted equipment and ceiling frame	mod	20-100%	mod	low	SEVERE	slight chance of occasional dislodged tile	low	0-5%	low	OK
<p>+</p> LIFE SAFETY HAZARD \$ % OF REPLACEMENT VALUE DAMAGED POST-EARTHQUAKE OUTAGE						<p>+</p> LIFE SAFETY HAZARD \$ % OF REPLACEMENT VALUE DAMAGED POST-EARTHQUAKE OUTAGE					

DAMAGE			
See Figure 4 for definitions			
SHAKING INTENSITY	MODERATE	SEVERE	OK
OK	OK	OK	OK
OK	OK	OK	OK
OK	OK	OK	OK

Figure 4
Approximate Chance of Exceeding Intensity During 10 Year Period

Reducing the Risks of Nonstructural Damage

Figure 8 : Nonstructural Examples

FEMA 74, 1994, 3rd ed.

Detail	Nonstructural Item	Type of Detail	Page
U	BUILDING UTILITY SYSTEMS		
U2	Batteries and Battery Rack	Engineering Required	30
U3	Diesel Fuel Tank	Engineering Required	31
U8	Electrical Bus Ducts and Primary Cable System	Engineering Required	32
U10	Fire Extinguisher and Cabinet	Do-It-Yourself	33
U15	Propane Tank	Engineering Required	34
U19a	Water Heater: Corner Installation	Do-It-Yourself	35
U19b	Water Heater: Wall Installation	Do-It-Yourself	36
U21	Piping	Engineering Required	37
U29	Chiller	Engineering Required	38
U32	Air Compressor (or other HVAC Equipment)	Engineering Required	40
U35	Suspended Space Heater	Engineering Required	41
U36	HVAC Distribution Ducts	Engineering Required	42
U37	Air Diffuser	Do-It-Yourself	43
U38	Residential Chimney	Engineering Required	44

Reducing the Risks of Nonstructural Damage

FEMA 74, 1994, 3rd ed.

A	ARCHITECTURAL ELEMENTS		
A2a	Built-In Partial-Height Partitions	Engineering Required	45
A2b	Built-In Full-Height Partitions	Engineering Required	46
A3	Suspended T-Bar Ceilings	Engineering Required	47
A5a	Suspended Light Fixtures	Do-It-Yourself	48
A5b	Pendant Light Fixtures	Do-It-Yourself	49
A9	Stairways	Engineering Required	50
A12	Windows	Engineering Required	51
A15a	Unreinforced Brick Parapets	Engineering Required	52
A15b	Veneer	Engineering Required	53
A16	Freestanding Walls or Fences	Engineering Required	54
A21	Exterior Signs	Engineering Required	55

Reducing the Risks of Nonstructural Damage

FEMA 74, 1994, 3rd ed.

Detail	Nonstructural Item	Type of Detail	Page
C	FURNITURE AND CONTENTS		
C8	Large Computers and Access Floors	Engineering Required	56
C10	Desktop Computers and Office Equipment	Do-It-Yourself	57
C12a	Tall Shelving	Engineering Required	58
C12b	Library Stacks	Engineering Required	59
C12c	Tall Shelving: Wall Unit	Do-It-Yourself	60
C13	Tall File Cabinets	Do-It-Yourself	61
C18	Flexible Connection for Gas or Fuel Lines	Do-It-Yourself	62
C19	Drawer and Cabinet Latches (Kitchen, Office, Laboratory, etc.)	Do-It-Yourself	63
C20	Freestanding Wood Stove	Do-It-Yourself	64
C21	Compressed-Gas Cylinders	Do-It-Yourself	65
C22	Containers of Hazardous Materials	Engineering Required	66
C26	Fragile Artwork	Do-It-Yourself	67
C27	Freestanding Half-Height Partitions	Do-It-Yourself	68
C28	Miscellaneous Furniture	Do-It-Yourself	69

Reducing the Risks of Nonstructural Damage

- If we quantify the risk of injury, who will use the numbers?
 - John Wiggins, "Death Risk" and the Long Beach City Council
- "Best practice," meeting a safety standard, vs. sliding scale of calculated casualties as a design tool (and as a usable service for consumer)

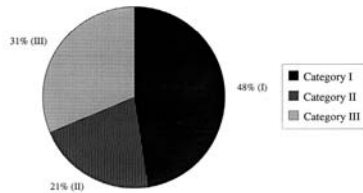
Lists Imply Tasks

- Short lists vs. long lists: which are better?
 - Idaho schools: "short form" vs. "long form"
 - What to advise in central US for retrofitting schools?
 - Berkeley School District: "Category I" hazards vs. Category II and Category III hazards

Lists Imply Tasks

Example: 18 schools in Berkeley Unified School District, approx. 1 million sq. ft.

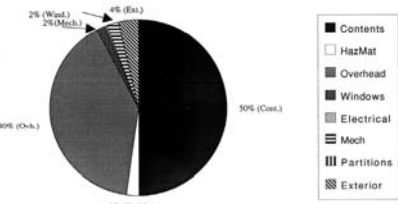
FIGURE 2: PERCENTAGE OF TOTAL DISTRICT COST, BY HAZARD CATEGORY



Reitherman Company and Oak Engineering, 1990

Example: 18 schools in Berkeley Unified School District, approx. 1 million sq. ft.

FIGURE 3: PERCENTAGE OF TOTAL DISTRICT COST, BY ITEM GROUPINGS: ONLY FOR HAZARD CATEGORY I



Reitherman Company and Oak Engineering, 1990

Lists Imply Tasks

- Short lists vs. long lists: which are better?
 - Idaho schools: "short form" vs. "long form"
 - What to advise in central US for retrofitting schools?
 - Berkeley School District: "Category I" hazards vs. Category II and Category III hazards
- Lists useful for prioritizing research
 - Experimentation
 - Post-earthquake data gathering and analysis

High Priorities in Lists for Research

Table 3: Estimated Costs to Conduct Improved Nonstructural Post-Earthquake Data Collection and Organization

Level of Effort	1/2 N	N	2N	4N
actual	\$69,000	\$275,000		
proposed system of data collection	\$500,000	\$750,000	\$1,125,000	\$1,500,000

Note (see also text) N is the overall direct property damage level of the Northridge Earthquake, approximately \$40 billion; 1/2 N-actual is the 1989 Loma Prieta Earthquake.

R. Reitherman, "The Need for Improvement in Post-earthquake Investigations of the Performance of nonstructural Components," ATC-25, Seminar and workshop on Seismic Design and Performance of Equipment and Nonstructural Elements in Buildings and Industrial Structures, 1992.

Difference in Lists: New vs. Existing

- New:** lists are usually divided up by discipline (actually by contract--not whether the item is "architectural" or not but whether it appears on the A-sheets and is signed off by the architect)
 - Contents:** (occupant-introduced items) often excluded for good scope reasons (post-occupancy permit, hard to manage, requires management of occupants, not the design professional's or building contractor's or building official's problem, etc.) but contents are a big part of performance/loss
- Existing:** lists can be divided up by how the retrofit work will get done (e.g., fix all overhead components at one time in classrooms) or by loss estimation categories (e.g., list of items that are essential for the EOC; list of items in museum that are especially high value)

A list organized according to how retrofitting will proceed

TABLE 2 ESTIMATED NONSTRUCTURAL HAZARD REDUCTION COSTS, BY TYPE OF NONSTRUCTURAL ITEM, ONLY FOR HAZARD CATEGORY I

Type of Item	Category I Cost	Cost as % of Total Hazard Category I Cost
Contents & Equipment	\$428,450	50%
Hazardous Materials	19,197	2%
Overhead	342,195	40%
Windows	15,103	2%
Electrical Equipment	450	<1%
Mechanical Equipment	19,500	2%
Partitions	0	0%
Exterior	30,000	4%
Total	\$854,305	100%

A list organized according to how retrofitting will proceed

**TABLE 2
ESTIMATED NONSTRUCTURAL HAZARD REDUCTION COSTS,
BY TYPE OF NONSTRUCTURAL ITEM,
ONLY FOR HAZARD CATEGORY I**

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Overhead	342,195	40%
Windows	15,103	2%
Electrical Equipment	450	<1%
Mechanical Equipment	19,500	2%
Partitions	0	0%
Exterior	30,000	4%
Total	\$854,805	100%

With fire dept. help

Teacher issues

Work disrupts usage of room

Done by one specialty vendor, under one contract

Work can occur without disrupting interior usage

Conclusion (question): Lists for What?

- Lists for what purposes?
- Inevitably: limitations of scope; “fine print” disclaimers will do a disservice; need to communicate not just to designers but to owners/clients/consumers/regulators what performance they’re getting
- Inevitably: must be practical limits on the tasks that are implied by lists; let’s not add “the kitchen sink”

Building-Component Taxonomy

Keith Porter
ATC-58 Workshop
25 August 2005
SGH, San Francisco



Contents

- Occupancies in scope
- 10 Objectives
- Existing taxonomies reviewed
- Selected taxonomy: NISTIR 6389
- Required extension for seismic & other features
- Sample of taxonomy

Occupancies

ATC-58 Occupancy	Equivalent HAZUS occupancy classes
Multifamily dwellings	RES3 Multi-Family Dwelling
Temporary lodgings and institutional dormitories	RES4 Temporary Lodging RES5 Institutional Dormitory
Retail trade	COM1 Retail Trade
Warehouse and warehouse retail	COM2 Wholesale Trade
Professional and technical services and government offices	COM4 Professional and Technical Services GOV1 General Services
Hospitals	COM6 Hospital
School classrooms and administration	EDU1 Grade Schools EDU2 Colleges and Universities
University laboratory research	EDU2 Colleges and Universities

10 objectives

- Clear.**
No circular or subjective definition
- Common fragility.**
Same EDP, DM, capacities.
- Distinguish performance.**
E.g., braced vs. unbraced equipment
- Testable.**
Can quantify fragility with a single research project.
- Can assess consequences.**
E.g., in terms of D³
- Flexible.**
Does not presuppose future findings; allow additions
- Collectively exhaustive.**
A place for everything. Need not be MECE
- Simple.**
Relatively few categories
- Collapsible.**
E.g., by performance group, EDP, occupancy...
- Familiar.**
For ease of communication with contractors, engineers, cost estimators

Taxonomies reviewed

	1. Clear definitions	2. Collectively exhaustive	3. Common fragility functions	4. Testable	5. Distinguishes performance	6. Simple	7. Assessment of consequences	8. Collapsible	9. Flexible	10. Familiar
IBC 2000: ASCE 7-05	●	●	●	●	●	●	●	●	●	●
HAZUS	●	●	●	●	●	●	●	●	●	●
Porter (2000)	●	●	●	●	●	●	●	●	●	●
Taghavi and Miranda (2003)	●	●	●	●	●	●	●	●	●	●
Anlaci (2004)	●	●	●	●	●	●	●	●	●	●
UNIFORMAT II	●	●	●	●	●	●	●	●	●	●
NISTIR 6389 (proposed for use here)	●	●	●	●	●	●	●	●	●	●
MasterFormat 04	●	●	●	●	●	●	●	●	●	●
RS Means assemblies	●	●	●	●	●	●	●	●	●	●
RS Means components	●	●	●	●	●	●	●	●	●	●

● = true ● = somewhat true ● = untrue

NISTIR 6389 (NIST 1999)

- Extension of UNIFORMAT-II (ASTM 2002)
 - One of 2 major construction specification systems, with MasterFormat (CSI 2004)
 - UNIFORMAT-II: system orientation (60 items)
 - CSI MasterFormat: material, trade orientation; (170 pp.)
- NISTIR 6389 adds a 4th level of detail
 - Numbering A0000
 - 280 items (4 pp.)

Level 1 Major Group Elements	Level 2 Group Elements
A SUBSTRUCTURE	A10 Foundations
B SHELL	A20 Basement Construction
	B10 Super Structure
	B20 Exterior Enclosure
	B30 Roofing
C INTERIORS	B40 Exterior Finishes
	C10 Interior Construction
	C20 Stairs
	C30 Interior Finishes
D SERVICES	D10 Conveying
	D20 Plumbing
	D30 HVAC
	D40 Fire Protection
	D50 Electrical
	D60 Equipment
	D70 Furnishings
E EQUIPMENT & FURNISHINGS	E10 Equipment
	E20 Furnishings
F SPECIAL CONSTRUCTION & DEMOLITION	F10 Special Construction
	F20 Selective Building Demolition

Red = all nonstructural
Yellow = some nonstructural

- ### For seismic & other attributes, propose level-5 extension
- Format A0000.000
 - Default “.000” for undifferentiated NISTIR 6389 group
 - Level-5 (001, 002, ...) reflects design & retrofit features
 - E.g., restrained vs. unrestrained components
 - Add 001, 002, ... at will
 - Interactive database was created w/ fragilities, costs
 - Lit review of tests, recon reports was performed to reflect some important distinctions
 - Currently contains ~390 items

Sample of proposed taxonomy

Level 1 ID, descr.	Level 2 ID, descr.	Level 3 ID, descr.	Level 4 ID, description	Level 5 ID, description	
B Shell	B20 Ext. Enclosure	B2020 Ext. Windows	B2021 Windows	B2021.000 Windows, all	
				B2021.001 Window, Al frame, sliding, std glass, 1.25 sf pane	
				B2021.002 Window, Al frame, fixed, std glass, 80"x80" pane	
				B2021.003 Windows, wood, double hung, standard glass, 2'-1.5"x4'-0"x2'-6"x3/16"	
				B2021.004 Window, AL frame, sliding, heavy sheet glass,	
				B2022 Curtain Walls	B2022.000 Curtain Walls, all
				B2022.001 Highrise curtain-wall systems with annealed glass	
				B2022.002 Highrise curtain-wall systems with tempered, wired, or laminated glass, or glass with shatter-resistant film	
				B2023 Storefronts	B2023.000 Storefronts, all
				B2023.001 Lowrise storefront windows with annealed glass	
				B2023.002 Lowrise storefront windows with tempered, wired, or laminated glass, or glass with shatter-resistant film	

Questions

ATC-58 Mini-Workshop/Invited Meeting on the Identification of Nonstructural Components of Significance
 August 25, 2005

Taxonomy - Nonstructural Components Identified as Significant in Casualties, Dollar Loss, or Downtime
 Reference - NISTIR 6389 (modified)

Key to symbols: ● Significant
 ■ Moderately Significant
 "Blank" Not Significant

Level 1	Level 2	Level 3	Level 4	Significance			Comments
				Casualties	Dollar Loss	Downtime	
B Shell	B10 Superstructure	B1010 Floor Construction	B1015 Exterior Stairs and Fire Escapes	●	●	●	Needs further study to determine whether it should be treated as part of the structure versus a nonstructural component
		B1020 Roof Construction	B1023 Canopies	●	●	●	Needs further study to determine whether it should be treated as part of the structure versus a nonstructural component
	B20 Ext. Enclosure	B2010 Exterior Walls	B2011 Exterior Wall Construction	●	●	●	
			B2012 Parapets	●	●	●	Dollar loss may be insignificant
			B2016 Exterior Soffits	●	●		Assumes that any cleanup associated with building egress is accomplished in less than one day
			B2020 Ext. Windows	●	●	■	In some cases broken exterior windows may not significantly impact downtime
			B2022 Curtain Walls	●	●	■	
			B2030 Exterior Door	■	●	●	Further study needed to assess impact of damage on safe egress
			B30 Roofing	B3010 Roof Cover	B3017 Tile or Slate Roof	●	●
	B3020 Roof Openings	B3021 Glazed Roof Openings	●	●			
	B40 Ext. Finishes	B4010 Ext. Finish	B4041 Wall Finishes to Exterior	●	●	Intended to cover brick masonry and stone veneer	
C Interiors	C10 Int. Construction	C1010 Partitions	C1011 Fixed Partitions (gypbd and sim)		●	■	Finish materials need to be addressed in cost of repairs (ceramic tile, e.g.)
			C1011 Fixed Partitions (masonry)	●	●	●	
		C1020 Int. Doors	C1021 Interior Doors		●		Further study needed to assess impact of damage on safe egress
	C20 Stairs	C2010 Interior Stairs		●	●	●	Needs further study to determine whether it should be treated as part of the structure versus a nonstructural component
	C30 Int. Finishes	C3020 Floor Finish	C3027 Access Pedestal Flooring		●	●	
	C3030 Ceiling Finish	C3032 Suspended Ceilings	■	●	●	Further study needed to determine whether ceiling should be considered as a system (include lights and diffusers, e.g.)	
D Services	D10 Conveying	D1010 Elevator		●	■	●	
		D1020 Escalator		●	■	●	
	D20 Plumbing	D2020 Domestic Water Distribution			●	●	Note: Benefits/limitation of piping aggregation should be studied
		D2030 Sanitary Waste			●	●	
		D2090 Other Plumbing		●	●	●	Concern for gas distribution, acid waster, etc. Occupancy dependant.

	D30 HVAC	Sam Swan to develop list of HVAC items				NISTIR 6389 Taxonomy not well-suited for performance assessment. Needs further study to develop list of categories.
	D40 Fire Protection	D4010 Sprinklers		■	● ●	Casualty risk associated with falling hazard judged to be small
		D4020 Standpipe			● ●	Wet side only
	D50 Electrical	Sam Swan to develop list of electrical items				NISTIR 6389 Taxonomy not well-suited for performance assessment. Needs further study to develop list of categories.
		D5020 Lighting	D5022 Lighting Equipment		● ● ●	Study aggregation of lighting with ceiling system, where possible
E Equipment and Furnishings						
						Occupancy Dependent; Need "on/off switch" since stakeholder may not be concerned with tenant losses
	Office	Desktop Computers			● ●	
		Tall File Cabinets		●	● ●	
		Tall Slender Bookshelves			● ●	
		Business Equipment (printers, etc)			● ●	
	Classrooms	Bookshelves		●	● ●	
		Desktop Computers			● ●	
		Tall File Cabinets		●	● ●	
	Warehouse	Storage Racks		●	● ●	
		Contents on Racks		●	● ●	
	Retail	Storage Racks		●	● ●	
		Tall Display Racks		●	● ●	
		Contents on Racks		●	● ●	
		Cold Room			● ●	
	Hotels/Dorms	Kitchen Equipment			● ●	
	Multi-Family Residential	Desktop Computers			●	
		Home Entertainment Equipment			●	
		China			●	
	Hospitals	Medical Equipment		●	● ●	
		Kitchen Equipment			● ●	
		Tall Bookcases		●	● ●	
		Desktop Computers			● ●	

**Earthquake Performance Data from the Electric Power Research Institute (EPRI)
Database Relevant to HVAC Systems Serving Residential & Commercial Buildings**

Generic Equipment Category & Number of Examples from EPRI Database	Probability of Equipment Damage Requiring Prolonged Repair or Replacement			
	Overall Failure Rate	MMI VII	MMI VIII	MMI IX
Gas-Fired Steam/Hot Water boilers	Sample size: 24 Failures: 2 Overall failure rate = 8%	Sample size too small to allow estimates by shaking intensity		
Packaged Circulating Water Chillers	Sample size: 60 Failures: 1 Overall failure rate = 1 - 2%	½%	2%	7%
Motor-Driven Pumps (horizontal, 10 – 200 horsepower)	Sample size: 270 Failures: 9 Overall failure rate = 3%	½%	2%	10%
Centrifugal Fans (Including spring-mounted with or without seismic design)	Sample size: ~200 Failures: 26 Overall failure rate = 13%	½%	5%	30%
Air Handlers (coils, filters, louvers, fans)	Sample size: 70 Failures: 11 Overall failure rate = 15%	4%	10%	15%
Programmable Controllers (Mounted in free-standing cabinets, anchored or unanchored)	Sample size: 60 Failures: 7 Overall failure rate = 12%	3%	5%	30%
Vertical Steel Tanks (1,000 – 100,000 gallons, anchored or unanchored)	Sample size: 220 Failures: 22 Overall failure rate = 10%	1%	5%	25%
Hot & chilled water piping (per in-building runs of 1,000 feet, excluding failures due to shifting equipment)	Twenty-three E.Q.-induced leaks out of an inventory of ~200 miles of piping (Sample from steam power plants only) Overall failure rate = 2% per 1,000-ft of line	1/10%	3%	20%

Earthquake Performance Data from the EPRI Database Relevant to Electrical Systems Serving Residential & Commercial Buildings

Generic Equipment Category & Number of Examples from EPRI Database	Probability of Equipment Damage Requiring Prolonged Repair or Replacement			
	Overall Failure Rate	MMI VII	MMI VIII	MMI IX
Standby Generators (50 kilowatts – 20 megawatts)	Sample size: 160 Failures: 28 Overall failure rate = 18%	5%	15%	25%
Unit Substation Transformers (Typically 12 kilovolts to 480 volts, 500 – 5,000 kVA)	Sample size: 250 Failures: 7 Overall failure rate = 3%	½%	2%	15%
Medium Voltage Switchgear (Typically 4 – 12 kilovolt)	Sample size: 150 Failures: 5 Overall failure rate = 3%	½%	3%	18%
Low Voltage Switchgear (4800 volt)	Sample size: 120 Failures: 4 Overall failure rate = 3%	½%	3%	18%
Motor Control Centers & Switchboards (240 – 480 volt in free-standing cabinets anchored or unanchored)	Sample size: 270 Failures: 6 Overall failure rate = 2%	½%	1%	4%